

**GETTING READY  
FOR YOUR  
LICENSING VISIT  
(Licensed Out of School Time Care Program)**

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OBJECTIVES OF TRAINING:

- Provide information about records that will be reviewed at annual child care licensing visit
- Provide suggested templates for files, suggestions for organization of records, and sample forms
- Provide a copy of the current Child Care Licensing Regulations
- Provide a copy of the Out of School Time Care Checklist
- Reduce the amount of time Child Care Licensing spends on reviewing documents

## **ADMINISTRATIVE RECORDS & CHECKLISTS**

## ADMINISTRATIVE REQUIREMENTS

### 8.16.2.40 – Pages 73-74

This contains two sections:

- Administrative records that must be posted in the facility in a prominent place that is readily visible to parents, staff and visitors and;
- Administrative records that must be on file.

#### Administrative records that must be posted in the facility:

- Current child care license issued by the State of New Mexico
- Copy of most recent fire inspection
- Certificate from the New Mexico Environment Department
- Current NM Environment Inspection Report
- Copy of last child care licensing survey
- Current copy of New Mexico Child Care Licensing Regulations
- Center's Guidance Policy
- Current list of notifiable diseases and communicable diseases
- Weekly menus
- Daily Activity Schedule
- Evacuation plan in each room used by children.
- Capacity of each classroom

#### Administration records that must be on file at the facility:

Set up a filing system for these records. Use this template as a guide for arranging/organizing the file to insure that all required documents/information is included and available for Child Care Licensing Surveyor to review. Delineation of each section will provide ease in locating required information.

#### Section One: Program Statements and Program Policies and Procedures that includes:

##### ***(See Appendix A)***

- Mission statement (with certificate from local Early Childhood Training and Technical Assistance Program, if reviewed by the TTAP)
- Philosophy statement (with certificate from local Early Childhood Training and Technical Assistance Program, if reviewed by the TTAP)

- Curriculum Statement with (certificate from local Early Childhood Training and Technical Assistance Program, if reviewed by the TTAP)

Section Two: Parent Handbook and Policies and Procedures that includes:

- General information:
  - ✓ Program's mission statement
  - ✓ Program's philosophy statement
  - ✓ General program information
  - ✓ Name of center Director and how he/she may be contacted
  - ✓ Meals, snacks and types of food served by the program
  - ✓ Daily schedule
  - ✓ Written statement that is supportive of family involvement in the program. The statement that includes an open-door policy to the classroom
  - ✓ Explanation/description of appropriate dress for children, including a request for extra change of clothing
  - ✓ Explanation of how the program celebrates holidays, birthdays, and parties
  - ✓ A form that is provided to parent/s or guardian/s disclosing that the program does not have liability or accident insurance coverage. If program carries such insurance, disclosure of insurance coverage is not necessary.
- Written policies and procedures for the following:
  - ✓ Enrollment procedures
  - ✓ Disenrollment procedures
  - ✓ Fee payment procedures, including penalties for tardiness
  - ✓ Fee credits, if applicable (for example, for vacations or extended absences)
  - ✓ Notification policies and procedures when child will be absent from the center
  - ✓ Policies and procedures for field trips and/or activities that occur off-site
  - ✓ Health policies such as those related to admitting children who are ill, when children may return to the center after an illness, administering of medications, and information on common illnesses.
  - ✓ Procedures that center will follow in an emergency, **approved annually by licensing**
  - ✓ Safety policies
  - ✓ Current, approved disaster preparedness plan, **approved annually by licensing**
  - ✓ Policies for snow days and center closure
  - ✓ Policy for handling confidential information
  - ✓ Policy and procedures for reporting child abuse and neglect
  - ✓ Child guidance policy used by the center

***(See Appendix B)***

Section Three: Personnel Handbook and Policies and Procedures that includes:

- Organization chart
- Job descriptions for all employees by title
- Benefits including vacations days, sick leave, professional development days, health insurance, break times, etc.
- Code of Conduct used by the program
- Training requirements
- Procedures and criteria used for employee performance evaluations
- Policies regarding absence from work
- Grievance procedures
- Procedures for resignation or termination from employment
- Current copy of New Mexico Child Care Licensing Regulations
- Center policy regarding parent involvement
- Health policies related to children
- Health policies related to staff
- Center policy on sexual harassment
- 2-STAR, 3-STAR, 4-STAR and 5-STAR programs must have a plan for retention of qualified staff.

***(See Appendix C)***

Other Records

- Accreditation and Recording Keeping (A supplemental checklist is attached. ***This is not a licensing regulation***, but is provided to assist accredited programs in documenting the status of their accreditation.)

***(See Appendix D)***

- Written notice to parents before pets are allowed in program
- Record of proof that pets have been inoculated

APPENDIX A

| ✓ | <b>Program Statements Checklist</b> | Date<br>Developed |
|---|-------------------------------------|-------------------|
|   | Mission Statement                   |                   |
|   | Philosophy Statement                |                   |
|   | Curriculum Statement                |                   |

**APPENDIX B**

| ✓ | <b>Parent Handbook-General<br/>Information Checklist</b>  | Page Number | Date Developed |
|---|---|-------------|----------------|
|   | Mission Statement   |             |                |
|   | Philosophy Statement  |             |                |
|   | Program Information   |             |                |
|   | Name of director and how he/she may be reached  |             |                |
|   | Meals, snacks and types of food served  |             |                |
|   | Daily schedule  |             |                |
|   | Statement supportive of family involvement that includes an open door policy to classroom   |             |                |
|   | Appropriate dress for children, including request for extra change of clothes   |             |                |
|   | Celebrating holidays, birthdays and parties   |             |                |
|   | Disclosure to parents that the licensee does not have liability or accident insurance coverage. If the licensee does carry such insurance, disclosure of insurance coverage is not necessary. |             |                |
|   | <b>Policies &amp; Procedures Checklist</b>  |             |                |
|   | Enrollment Procedures   |             |                |
|   | Disenrollment Procedures  |             |                |
|   | Fee payment procedures, including penalties for tardiness   |             |                |
|   | Notification of absence   |             |                |
|   | Fee credits, if any (e.g. for vacations, absences, etc)   |             |                |

|  |  |  |  |
|--|--|--|--|
|  | Field trip policies  |  |  |
|  | Health policies (program's policies on admitting sick children, when children can return after an illness, administering medications, and information on common illnesses) |  |  |
|  | Emergency procedures   |  |  |
|  | Safety policies  |  |  |
|  | Disaster preparedness plan   |  |  |
|  | Snow days and school closure   |  |  |
|  | Confidentiality policy   |  |  |
|  | Child abuse/neglect reporting procedure  |  |  |
|  | Guidance policy  |  |  |

**APPENDIX C**

| ✓ | <b>Personnel Handbook:<br/>Written Policies &amp; Procedures<br/>Checklist</b>                                    | Page<br>Number | Date<br>Developed<br>or Revised |
|---|---|----------------|---------------------------------|
|   | Organization Chart  |                |                                 |
|   | Job descriptions of all employees by title  |                |                                 |
|   | Benefits, including vacation days, sick leave, professional development days, health insurance, break times, etc. |                |                                 |
|   | Code of conduct   |                |                                 |
|   | Training requirements   |                |                                 |
|   | Procedures and criteria for performance evaluations   |                |                                 |

|  |   |  |  |
|--|---|--|--|
|  | Policies on absence from work   |  |  |
|  | Grievance procedures  |  |  |
|  | Procedures for resignation or termination   |  |  |
|  | Copy of licensing regulations   |  |  |
|  | Policy on parent involvement  |  |  |
|  | Health policies related to children   |  |  |
|  | Health policies related to staff  |  |  |
|  | Policy on sexual harassment   |  |  |
|  | All 2 through 5 STAR providers shall have a plan for retention of qualified staff |  |  |

**APPENDIX D**

|                             |  |
|-----------------------------|--|
| <b>Accreditation Status</b> |  |
| Name of Accrediting Body:   |  |
| Date of Accreditation       |  |
| Expiration Date             |  |

|  |  |
|--|--|
| Date application was sent to accrediting body for renewal                          |  |
| Copies of all correspondence between the program and the accrediting body attached |  |

## PERSONNEL RECORDS AND CHECKLIST

## PERSONNEL RECORDS

### 8.16.2.42 E – Pages 74-75

**Develop an up to date written/typed list of all current staff, substitutes and volunteers having direct contact with children.** This list must be available to the licensing surveyor during the licensing visit. The list will be utilized to randomly select personnel files for review. Keep all personnel records for one year after the individuals last day of employment. A licensee may keep the items listed below in a central location, unless otherwise noted.

Set up a filing system (Multi-section file, binder, file folders) for each staff, substitute, and volunteer having direct contact with children. Use this template as a guide for arranging/organizing the file to insure that all required documents/information is included and available for the Child Care Licensing Surveyor to review. Delineate each section for ease in locating required information.

*(See Appendix E)*

#### Section One: Employment Form that includes:

- Name of Employee
- Address
- Phone Number
- Emergency Contact Information
- Hire Date
- Copy of current drivers license for drivers
- Termination Date (if applicable). **Keep all personnel records for one year after the individuals last day of employment.**
- Verification form that shows employment history verification
- Statement that individual has had or never has had an arrest or substantiated referral to a child protective service agency. **Must be updated and signed on an annual basis**

#### Section Two: Background Check Information (**must be kept on site**) that includes:

- Document that shows employee has passed the required background check  
**OR**
- Document that background check is in process (for example, copy of money order)

- Performance evaluations/any administrative actions/any reprimands

Section Three: Job Description that includes:

- Title of current position
- Written document that includes a description of current job responsibilities
- Title of any position/s previously held by the individual and description of previous job responsibilities
- Current work schedule, daily sign in sheets. The record must be kept on file for at least 12 months. It will include the time the employee arrived at and left work, including breaks and lunch. **This record may be kept in another file. If so, please indicate where attendance records are located.**

Section Four: Training Information that includes:

- Written plan for ongoing professional development based on the seven areas of competency, that is consistent with New Mexico's career lattice and based on the individuals goals
- Current 1<sup>st</sup> Aid certificate (**must be kept on site**)
- Current CPR certificate (**must be kept on site**)
- Annual training log that includes the date, hours of training, competency area, source of training, and certificates

Section Five: Other forms/information that includes:

- Signed form acknowledging that the individual has read and understands the personnel handbook (including guidance policy)
- Signed form acknowledging that the individual has completed a new staff orientation
- Signed form acknowledging that the individual has reviewed and is aware of the center's disaster preparedness plan and fire evacuation plan
- Signed form that acknowledges that individual has completed universal precautions training

Section Six: Additional information required by Center: (for example, copies of written memos/communication with individual, etc.)

**APPENDIX E**

| ✓ | <b>Personnel File Checklist</b>   | Date Completed |
|---|---|----------------|
|   | Up to date staff, substitute, and volunteer list  |                |
|   | Employment Form   |                |
|   | Employment History Verification   |                |
|   | Statement that individual has had or never has had an arrest or substantiated referral to a child protective service agency (must be updated and signed annually) |                |
|   | If applicable, drivers must have copy of valid driver's license   |                |
|   | Annual Performance Evaluation(s)  |                |
|   | Administrative Actions/reprimands   |                |
|   | Background Check <b>(must be kept on site)</b>  |                |
|   | Job Description and Job Responsibilities  |                |
|   | Training Information  |                |
|   | Current First Aid/CPR certification <b>(must be kept on site)</b>   |                |
|   | Professional Development Plan   |                |
|   | Annual Training Log   |                |
|   | Signed Acknowledgement that employee has read and understands the personnel handbook (including program's guidance policy)  |                |
|   | Signed Acknowledgement that employee has completed a new staff orientation  |                |
|   | Signed Acknowledgment that employee has reviewed and is aware of the center's disaster preparedness and fire evacuation plans                                     |                |
|   | Signed Acknowledgment that employee has completed universal precautions training  |                |

## CHILDREN'S RECORDS & CHECKLIST

## CHILDREN'S RECORDS

### 8.16.2.22 E (1) and (2) Page 75

**Develop and maintain an up to date written/typed list of all children enrolled in your program.** This list must be available to the licensing surveyor during the licensing visit. The list will be utilized to randomly select children's files for review.

Set up a filing system for each child enrolled in your program. Use this template as a guide for arranging/organizing the file to insure that all required documents/information is included and available for the Child Care Licensing Surveyor to review. Delineation of each section will provide ease in locating required information.

***(See Appendix F)***

Section One: Child enrollment form that includes:

- Name of child
- Date of birth
- Gender
- Home address
- Mailing address
- Telephone number
- Name of parent/s or guardian/s
  - ✓ Parent/s or guardian/s current place/s of employment
  - ✓ Addresses
  - ✓ Pager Number
  - ✓ Cellular and/or work phone numbers
- Documentation of the legal status of the child, if applicable. This includes such information as, but not limited to restraining orders, guardianship, powers of attorney, court orders, custody by CYFD Child Protective Services, etc.
- Date the child first attended the center and the date of child's last day of attendance at the center.
- A list of individuals authorized to pick up the child that includes
  - Authorization form signed by the parent or guardian.
  - Identification of the person/s authorized by the parent or guardian to pick up the child. **Authorization shall be verified at the time of pick up.**
- Emergency information that includes:

- The name and telephone number of two (2) people in the local area to contact in an emergency when the parent/s or guardian/s cannot be reached. **Emergency contact phone numbers must be kept up-to-date at all times.**
- The name and telephone number of the physician or emergency medical center authorized by the parent/s or guardian/s to contact in case of illness or emergency.
- A form that is signed by the parent/s or guardian/s that gives the center permission to transport the child in a medical emergency and that authorizes medical treatment for the child.
- An agreement form which has been signed by the parent/s or guardian/s that outlines the services provided by the center and the costs of services.

Section Two: Health information that includes:

- A form that records any accidents, injuries or illnesses which require first aid or medical attention. **Documentation that these were reported to parent/s or guardian/s.**
- A form to record observation of recent bruises, bites or signs of potential abuse or neglect. **Documentation that these were reported to Children, Youth and Families Department.**
- Information regarding any allergies or medical conditions suffered by the child.
- Authorization to administer medication form

Section Three: Other forms/information that includes:

- Attendance records that indicate the dates of attendance, times of arrival and departure. Attendance records shall be initialed by a parent/guardian or person authorized to pick up the child. **This record may be kept in another file. If so, please indicate where attendance records are located.**
- A form that is signed by the parent/s or guardian/s which authorizes the center to remove the child from the premises to participate in off-site activities. The form must include the field trip destination, date and time of the field trip and expected time of return from the field trip.

Section Four: Additional information required by the center: (for example, samples of child's work, IEP/IFSP information, anecdotal notes of child's participation in activities, development checklists, etc.)

**APPENDIX F**

| ✓ | <b>Child's File Checklist</b>                 | Date Completed |
|---|---|----------------|
|   | Up to date list of all enrolled children      |                |
|   | Child Enrollment Form                         |                |
|   | Enrollment Agreement (contract with parent)   |                |
|   | Written permission for Offsite Activities     |                |
|   | Legal Status Documentation (if applicable)    |                |
|   | Accident/Injury Report(s)                     |                |
|   | Administering Medication Form (if applicable) |                |
|   | Signed Acknowledgements                       |                |

## SAMPLE FORMS

## **ADMINISTRATIVE SAMPLE FORMS**

## PERSONNEL SAMPLE FORMS

## CHILDREN'S SAMPLE FORMS

## OUT OF SCHOOL TIME CHECKLIST

|         |       |       |
|---------|-------|-------|
| Center: | Date: | Date: |
|---------|-------|-------|

| ADMINISTRATION RECORDS:  | Annual                   | Semi-Annual              |
|--|--------------------------|--------------------------|
| Total number of children:  |                          |                          |
| Last Licensing Survey <b>Posted</b><br>8.16.2.41A (1)                                  | <input type="checkbox"/> | <input type="checkbox"/> |
| Fire Inspection <b>Posted</b><br>8.16.2.47G(2)   | <input type="checkbox"/> |                          |
| Current Child Care Out of School Time State Regulations <b>Posted</b><br>8.16.2.41A(2) | <input type="checkbox"/> |                          |
| Mission Statement<br>8.16.2.41B(1)   | <input type="checkbox"/> |                          |
| Philosophy Statement<br>8.16.2.41B(2)  | <input type="checkbox"/> |                          |
| Curriculum Statement<br>8.16.2.41B(3)  | <input type="checkbox"/> |                          |
| Parent Handbook<br>8.16.2.41C  | <input type="checkbox"/> |                          |
| Personal Handbook<br>8.16.2.41F  | <input type="checkbox"/> |                          |
| Dated Weekly Menus for meals and snacks <b>Posted</b><br>8.16.2.41.A (3)               | <input type="checkbox"/> | <input type="checkbox"/> |
| Guidance Policy <b>Posted</b><br>8.16.2.41A(4)   | <input type="checkbox"/> |                          |
| Current Notifiable/Communicable Diseases <b>Posted</b>                                 | <input type="checkbox"/> |                          |

|  |                          |                          |
|--|--------------------------|--------------------------|
| 8.16.2.41A(5)  |                          |                          |
| Evacuation <b>Posted</b>   | <input type="checkbox"/> |                          |
| 8.16.2.47G(3)  |                          |                          |
| Disaster Preparedness Plan   | <input type="checkbox"/> |                          |
| 8.16.2.42C(2)(m)   |                          |                          |
| Sign In/Out Sheet  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.41 D (1)(g)   |                          |                          |
| Ratios maintained ( <b>15:1</b> )  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.42A(9)  |                          |                          |
| Unattended children  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.42A(7)  |                          |                          |
| Fire drills conducted once a month & documented  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.47G(1)  |                          |                          |
| Daily Activity Schedule <b>Posted</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.43D(5)  |                          |                          |
| Dated weekly work schedule   | <input type="checkbox"/> |                          |
| 8.16.2.41E(2)  |                          |                          |
| <b>RESTROOMS/ HYGIENE:</b>   |                          |                          |
| Toilet paper, soap, disposable towels at height accessible to children   | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.47F(1)  |                          |                          |
| Bathrooms are within 100 feet of the licensed area. Staff maintain a direct line of site until the child enters the bathroom to the time the child leaves the bathroom until the child returns | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.47F(2)  |                          |                          |
| Staff and children wash hands  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.45A(1-5)  |                          |                          |
| Hot/Cold Water   | <input type="checkbox"/> | <input type="checkbox"/> |

|  |                          |                          |
|--|--------------------------|--------------------------|
| 8.16.2.47C(6)  |                          |                          |
| Water from faucet below 110 degrees  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.47C(5)  |                          |                          |
| <b>KITCHEN:</b>  |                          |                          |
| Refrigeration at 41 degrees or below Freezer at 0 or below                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.44C(5)  |                          |                          |
| Thermometers for refrigerator & freezer                                    | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.44C(6)  |                          |                          |
| Leftovers labeled and dated and stored in an airtight container or wrapped | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.44C(7)  |                          |                          |
| <b>CLASSROOMS:</b>   |                          |                          |
| Sufficient equipment, materials, and furnishings                           | <input type="checkbox"/> |                          |
| 8.16.2.43(D)(1)  |                          |                          |
| Developmentally appropriate, safe materials                                | <input type="checkbox"/> |                          |
| 8.16.2.43D(4)  |                          |                          |
| Materials easy to reach & in an orderly manner                             | <input type="checkbox"/> |                          |
| 8.16.2.43D(3)  |                          |                          |
| Each center clearly defined  | <input type="checkbox"/> |                          |
| 8.16.2.43B(2)  |                          |                          |
| Capacity <b>Posted</b>   | <input type="checkbox"/> |                          |
| 8.16.2.43B(4)  |                          |                          |
| Housekeeping   | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.47A(1)  |                          |                          |
| Dangerous materials inaccessible to children                               | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.47A(2)  |                          |                          |
| Trashcan in Kitchens & Outdoor area have tight fitting lids                | <input type="checkbox"/> |                          |
| 8.16.2.47A(3)  |                          |                          |

|  |                          |                          |
|--|--------------------------|--------------------------|
| Shielded light bulbs<br><b>8.16.2.47D(1)</b>   | <input type="checkbox"/> |                          |
| <b>PHYSICAL ENVIRONMENT:</b>   |                          |                          |
| Environment shall be organized into functional identifiable learning areas, such as:<br><br><ul style="list-style-type: none"> <li>• dramatic play;</li> <li>• creative art;</li> <li>• books;</li> <li>• blocks and accessories;</li> <li>• manipulatives;</li> <li>• music;</li> <li>• science;</li> <li>• math/number; and</li> <li>• sensory</li> </ul> <b>8.16.2.43B(1)</b> | <input type="checkbox"/> | <input type="checkbox"/> |
| Learning areas have adequate space and quiet areas are arranged so that children’s activities can be sustained without interruption<br><br><b>8.16.2.43B(5)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| Materials are well cared for and organized by type. Where appropriate, materials are labeled with words or pictures. Adaptations to materials are made when needed to accommodate various abilities of all children. Unused materials are stored in inaccessible storage.<br><br><b>8.16.2.43B(6)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| Examples of children’s individually expressed artwork are displayed in the environment.<br><br><b>8.16.2.43B(7)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| Floor surface is suitable for activities that will occur in each learning area.<br><br><b>8.16.2.43B(8)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| File and storage space is available for educators’ materials.<br><br><b>8.16.2.43B(9)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>GUIDANCE:</b>   |                          |                          |
| A program will have written policies and procedures clearly outlining guidance practices. Facilities will give this information to all parents and staff who will sign a form to acknowledge that they have read and   | <input type="checkbox"/> | <input type="checkbox"/> |

|   |                          |                          |
|---|--------------------------|--------------------------|
| understand these policies and procedures.<br><b>8.16.2.43A(1)</b>   |                          |                          |
| Guidance will be consistent and age appropriate.<br><b>8.16.2.43A(2)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| Guidance shall be positive and include redirection and clear limits that encourage the child's ability to become self-disciplined.<br><b>8.16.2.43A(3)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| A program will not use the following disciplinary practices:<br><ul style="list-style-type: none"> <li>• physical punishment of any type, including shaking, biting, hitting or putting anything on or over a child's mouth;</li> <li>• withdrawal of food, rest, bathroom access, or outdoor activities;</li> <li>• abusive or profane language, including yelling;</li> <li>• any form of public or private humiliation, including threats of physical punishment; or</li> <li>• unsupervised separation.</li> </ul> <b>8.16.2.43A(4)</b> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>SOCIAL-EMOTIONAL RESPONSIVE ENVIRONMENT::</b>  |                          |                          |
| Educators remain calm in stressful situations.<br><b>8.16.2.43C(1)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| Talk and actively listen to children and respond appropriately.<br><b>8.16.2.43C(2)</b>   | <input type="checkbox"/> | <input type="checkbox"/> |
| Respond to children's questions and acknowledge their comments, concerns, emotions and feelings<br><b>8.16.2.43C(2)</b>   | <input type="checkbox"/> | <input type="checkbox"/> |
| Educators help children communicate their feelings by providing them with language to express themselves.<br><b>8.16.2.43C(3)</b>   | <input type="checkbox"/> | <input type="checkbox"/> |
| Make appropriate physical contact to comfort and support children in daily routines and interactions<br><b>8.16.2.43C(4)</b>  | <input type="checkbox"/> | <input type="checkbox"/> |
| Model appropriate social behaviors, interactions and empathy.   | <input type="checkbox"/> | <input type="checkbox"/> |

|   |                          |                          |
|---|--------------------------|--------------------------|
| 8.16.2.43C(4)   |                          |                          |
| Caregivers are actively engaged with children.<br>8.16.2.43C(2)   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>FIRST-AID REQUIRMENTS:</b>   |                          |                          |
| Check First-Aid Kit<br>8.16.2.45B(2)  | <input type="checkbox"/> |                          |
| • Band Aids   | <input type="checkbox"/> |                          |
| • Gauze pads  | <input type="checkbox"/> |                          |
| • Adhesive tape   | <input type="checkbox"/> |                          |
| • Scissors  | <input type="checkbox"/> |                          |
| • Soap  | <input type="checkbox"/> |                          |
| • Non-porous Gloves   | <input type="checkbox"/> |                          |
| • Thermometer   | <input type="checkbox"/> |                          |
| • First-Aid Manual  | <input type="checkbox"/> |                          |
| Check Medication Box (Locked) & Sheet<br>8.16.2.45C(1)(2)   | <input type="checkbox"/> |                          |
| • Parent Initials<br>8.16.2.45C(4)  | <input type="checkbox"/> |                          |
| • Expired Medication<br>8.16.2.45C(5)   | <input type="checkbox"/> |                          |
| <b>FIRE PROTECTION:</b>   |                          |                          |
| Check Emergency Numbers <b>Posted</b> next to phone or on cordless phones<br><b>(Fire, Police, Ambulance and Poison Control)</b><br>8.16.2.47G(4) | <input type="checkbox"/> |                          |
| Emergency lighting<br>8.16.2.47D(2)   | <input type="checkbox"/> | <input type="checkbox"/> |
| Check smoke detectors   | <input type="checkbox"/> | <input type="checkbox"/> |

|  |                          |                          |
|--|--------------------------|--------------------------|
| 8.16.2.47G(5)  |                          |                          |
| Check fire extinguishers   | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.47G(6) & (7)  |                          |                          |
| <b>PLAYGROUND:</b>   |                          |                          |
| Safe and securely anchored   | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.43F(1)(a)(b)  |                          |                          |
| Energy absorbing surfaces beneath climbing structures, swings & slides | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.43F (2)   |                          |                          |
| <b>TRANSPORTATION:</b>   |                          |                          |
| Proof of Insurance & Registration                                      | <input type="checkbox"/> |                          |
| 8.16.2.46G   |                          |                          |
| Check Vans for Equipment   | <input type="checkbox"/> |                          |
| 8.16.2.46A   |                          |                          |
| • Fire Extinguisher  | <input type="checkbox"/> |                          |
| • First-Aid Kit  | <input type="checkbox"/> |                          |
| • First-Aid Manual   | <input type="checkbox"/> |                          |
| • Water  | <input type="checkbox"/> |                          |
| • Blanket  | <input type="checkbox"/> |                          |
| Clean and Inspected  | <input type="checkbox"/> |                          |
| 8.16.2.46E   |                          |                          |
| <b>SURVEY NOTES:</b>   |                          |                          |
| Renewal Application mailed   | <input type="checkbox"/> | <input type="checkbox"/> |
| 8.16.2.11B(1)  |                          |                          |
| • Date mailed  | / /                      |                          |
| • Inform the provider of application late fee                          | <input type="checkbox"/> | <input type="checkbox"/> |
| • Provide website for a copy of current regulations                    | <input type="checkbox"/> | <input type="checkbox"/> |
|  |                          | <input type="checkbox"/> |

|  |  |                          |
|--|--|--------------------------|
| Review New Staff and Children's records since last survey            |  |                          |
| Go back and verify any deficiencies from annual, follow-up as needed |  | <input type="checkbox"/> |

## CHILD CARE LICENSING REGULATIONS

8.16.2 NMAC 1

**TITLE 8 SOCIAL SERVICES**

**CHAPTER 16 CHILD CARE LICENSING**

**PART 2 CHILD CARE CENTERS, OUT OF SCHOOL TIME PROGRAMS, FAMILY CHILD CARE HOMES, AND OTHER EARLY CARE AND EDUCATION PROGRAMS**

**8.16.2.1 ISSUING AGENCY:** Children, Youth and Families Department (CYFD).

[8.16.2.1 NMAC - Rp, 8.16.2.1 NMAC, 11/30/12]

**8.16.2.2 SCOPE:** All child care centers, out of school time programs, family child care homes, and other early care and education programs within the state of New Mexico.

[8.16.2.2 NMAC - Rp, 8.16.2.2 NMAC, 11/30/12]

**8.16.2.3 STATUTORY AUTHORITY:** The regulations set forth herein, which govern the licensing of facilities providing child care to children, have been promulgated by the secretary of the New Mexico children, youth and families department, by authority of the Children, Youth and Families Department Act, Section 9-2A-1 to 9-2A-16 NMSA 1978, and Sections 24-1-2 (D), 24-1-3 (I) and 24-1-5 of the Public Health Act, Sections 24-1-1 to 24-1-22, NMSA 1978, as amended.

[8.16.2.3 NMAC - Rp, 8.16.2.3 NMAC, 11/30/12]

**8.16.2.4 DURATION:** Permanent.

[8.16.2.4 NMAC - Rp, 8.16.2.4 NMAC, 11/30/12]

**8.16.2.5 EFFECTIVE DATE:** November 30, 2012, unless a later date is cited at the end of a section.

[8.16.2.5 NMAC - Rp, 8.16.2.5 NMAC, 11/30/12]

**8.16.2.6 OBJECTIVE:** The objective of 8.16.2 NMAC is to establish standards and procedures for the licensing of facilities and educators who provide child care to children within New Mexico. These standards and procedures are intended to: establish minimum requirements for licensing facilities providing non-residential care to children in order to protect the health, safety, and development of the children; monitor facility compliance with these regulations through surveys to identify any areas that could be dangerous or harmful to the children or staff members; monitor and survey out of school time programs; and encourage the establishment and maintenance of child care centers, homes and facilities for children that provide a humane, safe, and developmentally appropriate environment. These regulations apply during all hours of operation for child care centers, homes and out of school time programs.

[8.16.2.6 NMAC - Rp, 8.16.2.6 NMAC, 11/30/12]

**8.16.2.7 DEFINITIONS:**

A. "Abuse" means any act or failure to act, performed intentionally, knowingly or recklessly, which causes or is likely to cause harm to a child, including:

(1) physical contact that harms or is likely to harm a child;

(2) inappropriate use of a physical restraint, isolation, medication or other means that harms or is likely to harm a child; and

(3) an unlawful act, a threat or menacing conduct directed toward a child that results or might be expected to result in fear or emotional or mental distress to a child.

B. "Activity area" means space for children's activities where related equipment and materials are accessible to the children.

C. "Adult" means a person who has a chronological age of 18 years or older.

D. "AIM HIGH" is a voluntary quality child care improvement program that is open to all registered and licensed child care educators.

E. "Assessment of children's progress" means children's progress is assessed informally on a continuous basis using a series of brief anecdotal records (descriptions of the child's behavior or skills in given situations). Children's progress also can be assessed formally at least twice a year using a developmental checklist (checklist of behaviors that indicate physical, motor, language, cognitive, social and emotional development/progress).

F. “Attended” means the physical presence of an educator supervising children under care. Merely being within eyesight or hearing of the children does not meet the intent of this definition (See Supervision, Subsection LLL, 8.16.2.7 NMAC).

G. “Capacity” means the maximum number of children a licensed child care facility can care for at any one time.

H. “Child” means a person who is under the chronological age of 18 years.

I. “Child care center” means a facility required to be licensed under these regulations that provides care, services, and supervision for less than 24-hours a day to children. A child care center is in a non-residential setting and meets the applicable state and local building and safety codes.

J. “Class A deficiency” means any abuse or neglect of a child by a facility employee or volunteer for which the facility is responsible, which results in death or serious physical or psychological harm; or a violation or group of violations of applicable regulations, which results in death, serious physical harm, or serious psychological harm to a child.

K. “Class B deficiency” means any abuse or neglect of a child by a facility employee or volunteer for which the facility is responsible; or a violation or group of violations of applicable regulations which present a potential risk of injury or harm to any child.

L. “Class C deficiency” means a violation or group of violations of applicable regulations as cited by surveyors from the licensing authority which have the potential to cause injury or harm to any child if the violation is not corrected.

M. “Clean” means to physically remove all dirt and contamination.

N. “Conditions of operation” means a written plan that applies to a licensed facility and is developed by the licensing authority when the licensing authority determines that provisions within these regulations have been violated. The plan addresses corrective actions that the licensee must take within a specified timeframe in order to come into compliance with licensing requirements. During this timeframe the licensing authority may increase its level of monitoring.

O. “Core hours” means the daily hours of operation of the child care facility.

P. “Corrective action plan” means the plan submitted by the licensee addressing how and when identified deficiencies will be corrected.

Q. “Curriculum” is what happens every day in the classroom and on the playground. It includes every aspect of the daily program. Curriculum derives from the program’s mission statement, philosophy (which, in turn, is based on assumptions about young children’s development and learning), and program goals and objectives. It includes how materials and equipment are used, activities that children and adults participate in, and interactions among children and between children and adults.

R. “Deficiency” means a violation of these regulations.

S. “Direct provider of care” means any individual who, as a result of employment or contractual service or volunteer service has direct care responsibilities or potential unsupervised physical access to any care recipient in the settings to which these regulations apply.

T. “Director” means the person in charge of the day-to-day operation and program of a child care center.

U. “Disinfect” means to destroy or inactivate most germs on any inanimate object, but not bacterial spores. Mix four tablespoons of bleach with one gallon of cool water or use an environmental protection agency (EPA) registered disinfectant.

V. “Drop-in” means a child who attends a child care facility on an occasional or unscheduled basis.

W. “Educator” means an adult who directly cares for, serves, and supervises children in a licensed child care facility.

X. “Environment” means that the environment meets all required local, state, and federal regulations. It includes space (both indoors and outdoors) with appropriate equipment and materials that encourage children to engage in hands-on learning.

Y. “Exploitation” of a child consists of the act or process, performed intentionally, knowingly, or recklessly, of using a child’s property for another person’s profit, advantage or benefit without legal entitlement to do so.

Z. “Facility” means any premises licensed under these regulations where children receive care, services, and supervision. A facility can be a center, home, program, or other site where children receive childcare.

AA. “Family child care home” means a private dwelling required to be licensed under these regulations that provides care, services and supervision for a period of less than 24 hours of any day for no more than six children. The licensee will reside in the home and be the primary educator.

BB. "Group child care home" means a home required to be licensed pursuant to these regulations, which provides care, services, and supervision for at least seven but not more than 12 children. The licensee will reside in the home and be the primary educator.

CC. "Guidance" means fostering a child's ability to become self-disciplined. Guidance shall be consistent and developmentally appropriate.

DD. "Home" means a private residence and its premises licensed under these regulations where children receive care, services, and supervision. The licensee will reside in the home and be the primary educator.

EE. "Infant" means a child age six weeks to 12 months.

FF. "License" means a document issued by CYFD to a child care facility licensed and governed by these regulations and granting the legal right to operate for a specified period of time, not to exceed one year.

GG. "Licensee" means the person(s) who, or organization which, has ownership, leasehold, or similar interest in the child care facility and in whose name the license for the child care facility has been issued and who is legally responsible for compliance with these regulations.

HH. "Licensing authority" means the child care services bureau - licensing section of the early childhood services division of the New Mexico children, youth and families department which has been granted the responsibility for the administration and enforcement of these regulations by authority of Children, Youth and Families Department Act, Section 9-2A-1 to 9-2A-16 NMSA 1978, as amended.

II. "Mission statement," describes what the program aspires to do and whom the program aspires to serve.

JJ. "National accreditation status" means the achievement and maintenance of accreditation status by an accrediting body that has been approved by CYFD. Approval of an applicant accrediting body by CYFD is pursuant to procedures established by CYFD and requires, at a minimum, that the applicant accrediting body meets the following criteria: 1) is national in scope and practice; 2) has a process to ensure that interim quality is maintained by the accredited entity; 3) meets or exceeds the standards of one of the following national accrediting bodies: the national association for the education of young children (NAEYC) academy for early childhood program accreditation; the national early childhood program accreditation (NECPA); the national association of family child care (NAFCC), the national child care association (NCCA); the council of accreditation (COA); the national accreditation commission for early care and education programs (NAC); the international Christian accrediting association (ICAA); or the association of Christian schools international (ACSI); and 4) promotes indicators of quality which address, at a minimum, the following: staff training, director and staff qualifications, curriculum and environment, program administration, and staff/child ratios.

KK. "Night care" means the care, services and supervision provided by a licensed child care facility to children between the hours of 10:00 p.m. to 6:00 a.m.

LL. "Neglect" means the failure to provide the common necessities including but not limited to: food, shelter, a safe environment, education, emotional well-being and healthcare that may result in harm to the child.

MM. "Notifiable diseases" means confirmed or suspected diseases/conditions as itemized by the New Mexico department of health which require immediate reporting to the office of epidemiology which include but are not limited to: measles, pertussis, food borne illness, hepatitis and acquired immune deficiency syndrome.

NN. "Orientation" means a process by which the employer informs each new employee, volunteer and substitute, in advance of assuming their duties, of the mission, philosophy, policies, and procedures of the program, including clear direction about performance expectations.

OO. "Out of school time program" means a school age program at a specific site, usually a school or community center, offering on a consistent basis a variety of developmentally appropriate activities that are both educational and recreational.

PP. "Parent handbook" is a written communication tool that provides valuable information to families of the children the program serves. It includes all matters of relevance to family members regarding the program and is updated annually, or as needed.

QQ. "Pest" means any living organism declared a pest pursuant to the Pesticide Control Act.

RR. "Pesticide" means any chemical substance or mixture of substances intended for preventing, destroying, repelling or mitigating any pest.

SS. "Philosophy statement" describes how the program's mission will be carried out. It reflects the values, beliefs, and convictions of the program about how young children learn and describes the components of the program that contribute to that learning. It provides the program's perspective on early care and education and the nature of how children learn. The program's philosophy is implemented through the curriculum.

TT. "Policy" is a written directive that guides decision-making. Policies form the basis for authoritative action.

UU. "Premises" means all parts of the buildings, grounds, and equipment of a child care facility licensed pursuant to these regulations.

VV. "Procedure" is a series of steps to be followed, usually in a specific order, to implement policies.

WW. "Professional development" is an on-going plan for continued professional development for each staff member, including the director.

XX. "Program administrator" means the person responsible for planning or implementing the care of children in the program. This includes but is not limited to making contact with parents, keeping appropriate records, observing and evaluating the child's development, supervising staff members and volunteers, and working cooperatively with the site director and other staff members toward achieving program goals and objectives. This definition applies to out of school time programs only.

YY. "Punishment" means the touching of a child's body with the intent of inducing pain. This includes but is not limited to pinching, shaking, spanking, hair or ear pulling. It also includes any action which is intended to induce fear, shame or other emotional discomfort.

ZZ. "Requirements" means the criteria and regulations developed by children, youth and families department in 8.16.2 NMAC; to set minimum standards of care, education and safety for the protection and enhancement of the well-being of children receiving care, services or supervision.

AAA. "Restriction" means to control enrollment, service type, capacity, activities, or hours of operation.

BBB. "Revocation" means the act of making a license null and void through its cancellation.

CCC. "Sanction" means a measure imposed by the licensing authority for a violation(s) of these standards.

DDD. "Sanitize" means to reduce germs on inanimate surfaces to levels considered safe by public health codes or regulations. Mix one and one half teaspoons of bleach with one gallon of cool water or use an EPA registered sanitizer.

EEE. "School-age" means a child in care who is age five to 18 years.

FFF. "Staff evaluation" means that each staff member is evaluated by the director, using criteria from the individual's job description. The individual being evaluated knows ahead of time the criteria and procedures (which may include self-evaluation) for which they are being evaluated. The director discusses evaluation results with each staff member, and results are considered when determining salary increments and are incorporated into the individual's professional development plan.

GGG. "Substitute" means an adult who directly cares for, serves, and supervises children in a licensed child care facility, who works in place of the regular educator, and who works less than an average of 40 hours per month in a six month period.

HHH. "Suspension" means a temporary cancellation of a license pending an appeal hearing or correction of deficiencies.

III. "Site director" means the person at the site having responsibility for program administration and supervision of an out of school time program. This definition applies to out of school time programs only.

JJJ. "Star level" means a license indicating the level of quality of an early childhood program. A greater number of stars indicates a higher level of quality.

KKK. "Substantiated complaint" means a complaint determined to be factual, based on an investigation of events.

LLL. "Supervision" means the direct observation and guidance of children at all times and requires being physically present with them. The only exception is school-age children who will have privacy in the use of bathrooms.

MMM. "Survey" means a representative of the licensing authority enters a child care facility, observes activity, examines the records and premises, interviews parents and staff members and records deficiencies.

NNN. "Toddler" means a child age 12 months to 24 months.

OOO. "U/L" means the underwriters laboratory, which is a standards organization which tests electrical and gas appliances for safety.

PPP. "Unattended" means an educator is not physically present with a child or children under care.

QQQ. "Unsubstantiated complaint" means a complaint not determined to be factual based on an investigation of events.

RRR. "Variance" means an allowance granted by the licensing authority to permit non-compliance with a specified regulation for the period of licensure. The granting of variances is at the sole discretion of the licensing authority.

SSS. "Volunteer" means a person who is not employed as a childcare educator, spends less than six hours per week at the facility, is under direct physical supervision and is not counted in the facility ratio. Anyone not fitting this description must meet all requirements for staff members.

TTT. "Waiver" means an allowance granted by the licensing authority to permit non-compliance with a specified regulation for a specified, limited period of time. The granting of waivers is at the sole discretion of the licensing authority.

[8.16.2.7 NMAC - Rp, 8.16.2.7 NMAC, 11/30/12]

**8.16.2.8 RELATED REGULATIONS AND CODES:** Facilities subject to these regulations are also subject to the current versions of the following regulations and codes:

- A. New Mexico health department regulations, Control of Disease and Conditions of Public Health Significance, 7.4.3 NMAC.
- B. New Mexico health department regulations, Control of Communicable Disease in Health Facility Personnel, 7.4.4 NMAC.
- C. New Mexico health department regulations, Governing Public Access to Information in the Department Records, 7.1.3 NMAC.
- D. New Mexico department of health regulations, Health Facility Licensure Fees and Procedures, 7.1.7 NMAC.
- E. New Mexico children, youth and families department regulations, Administrative Appeals, 8.8.4 NMAC.
- F. New Mexico department of health regulations, Health Facility Sanctions and Civil Monetary Penalties, 7.1.8 NMAC.
- G. New Mexico children, youth and families department regulations, Governing Background Check and Employment History of Licensees and Staff of Child Care Facilities, 8.8.3 NMAC.
- H. New Mexico environment department, Food Service and Food Processing, 7.6.2 NMAC.
- I. Latest edition adopted by the New Mexico state fire board of the National Fire Protection Association Life Safety Code Handbook 101.
- J. Latest edition adopted by the New Mexico state fire board of the International Fire Code.
- K. Latest edition adopted by the New Mexico construction industries division of the Uniform Building Code enacted by the international conference of building officials.
- L. Latest edition of the New Mexico Building, Plumbing/Mechanical and Electrical codes adopted by the New Mexico construction industries division.
- M. New Mexico department of health Regulations Governing Immunizations Required for School Attendance Immunization Requirement, 7.5.2 NMAC.
- N. Federal Americans with Disabilities Act (ADA).
- O. New Mexico department of agriculture Regulations Pesticide Control Act, Chapter 76, Article 4, Sections 1 through 39, NMSA 1978 and 21.17.50 NMAC.
- P. Latest edition of Critical Heights of Playground Equipment for Various Types and Depths of Resilient Surfaces Based on Information from the U.S. CONSUMER PRODUCT SAFETY COMMISSION (CPSC Publication No.325), Handbook for Public Playground Safety.
- Q. Any code, ordinance, or rule of a governing body, including but not limited to cities, towns, or counties having jurisdiction over the area in which the facility is situated.

[8.16.2.8 NMAC - Rp, 8.16.2.8 NMAC, 11/30/12]

**8.16.2.9 APPLICATION:** These regulations apply to public or private facilities and homes that provide care, education, services, and supervision to children less than 24 hours of any day, come within the statutory definition of "health facilities" set out in Section 24-1-2 (D) of the Public Health Act, Section 24-1-1 to 24-1-22 NMSA 1978 as amended, and are required to be licensed by the licensing authority. These regulations do not apply to any of the following.

- A. Facilities providing child care for 24 hours on a continuous basis. Such facilities are covered by other regulations promulgated by the children, youth and families department that are available upon request from the licensing authority.
- B. Child care facilities operated by the federal government or a tribal government.
- C. Child care facilities operated by a public school system and governed by the local school board.
- D. Private schools accredited or recognized by the New Mexico department of education, operated for educational purposes only for children age five years or older.
- E. Child care facilities provided exclusively for children of parents who are simultaneously present in the same premises.
- F. Summer religious schools held on a church, religious building or house of worship premises.

G. Summer camps, wilderness camps, and programs operated for recreational purposes only by recognized organizations such as churches, schools, and the boy and girl scouts, provided such camps and programs are not conducted in private residences.

H. Any individual who in their own home provides care, services and supervision to four or fewer nonresident children.

I. Parent's day out programs held in a church, religious building or house of worship, or public building operating for no more than eight hours per week and no more than four hours on any given day. The program will be staffed by parents participating in the program, or by others who are members of the church or public affiliation.

[8.16.2.9 NMAC - Rp, 8.16.2.9 NMAC, 11/30/12]

**8.16.2.10 LICENSING AUTHORITY (ADMINISTRATION AND ENFORCEMENT RESPONSIBILITY):**

The child care services bureau, licensing section, of the early childhood services division of the New Mexico children, youth and families department, hereafter called the licensing authority, has been granted the responsibility for the administration and enforcement of these regulations by authority of Children, Youth and Families Department Act, Section 9-2A-1 to 9-2A-16, NMSA 1978, as amended.

[8.16.2.10 NMAC - Rp, 8.16.2.10 NMAC, 11/30/12]

**8.16.2.11 LICENSING:**

**A. TYPES OF LICENSES:**

(1) ANNUAL LICENSE: An annual license is issued for a one-year period to a child care facility that has met all requirements of these regulations.

(a) 1-star level requires meeting and maintaining licensing requirements at all times, except for the requirements outlined in the following items: Items (i), (ii) and (iii) of Subparagraph (a) of Paragraph (1) of Subsection A of 8.16.2.11 NMAC. 1-star level is designated for programs not receiving child care subsidy. All 1-star educators receiving subsidy and licensed at the time of publication of these rules shall have until July 1, 2012 to meet 2-star requirements included in the following sections of these regulations:

(i) for centers: Paragraph (16) of Subsection G of 8.16.2.22 NMAC, Paragraphs (5) through (9) of Subsection G of 8.16.2.24 NMAC, and Subsection H of 8.16.2.24 NMAC;

(ii) for licensed family and group child care homes: Paragraph (4) of Subsection E of 8.16.2.32 NMAC, Paragraph (14) of Subsection F of 8.16.2.32 NMAC, Paragraphs (4) through (8) of Subsection G. of 8.16.2.34 NMAC, and Subsection H of 8.16.2.34 NMAC;

(iii) for licensed out of school time programs: Subparagraph (k) of Paragraph (1) of Subsection E of 8.16.2.41 NMAC, Paragraph (14) of Subsection F of 8.16.2.41 NMAC, Paragraphs (5) through (9) of Subsection B of 8.16.2.43 NMAC and Subsection C of 8.16.2.43 NMAC.

(b) 2-star level requires meeting and maintaining licensing requirements at all times.

(c) 3-star level is voluntary and requires meeting and maintaining licensing requirements and AIM HIGH level 3 criteria at all times.

(d) 4-star level is voluntary and requires meeting and maintaining licensing requirements and AIM HIGH levels 3 and 4 criteria at all times.

(e) 5-star level is voluntary and requires meeting and maintaining licensing requirements and maintaining approved national accreditation status.

(2) TEMPORARY LICENSE: The licensing authority will, at its discretion, issue a temporary license when it finds the child care facility in partial compliance with these regulations.

(a) A temporary license can, at the discretion of the licensing authority, be issued for up to 120 days, during which time the child care facility will correct all specified deficiencies.

(b) The licensing authority will not issue more than two consecutive temporary licenses.

(c) After a second temporary license has been issued, a new application and the required application fee must be submitted within 30 days in order to renew the license for the remainder of that one year period.

(3) AMENDED LICENSE: A child care facility will submit a new notarized application to the licensing authority before modifying information required to be stated on the license. Examples of such modifications include dates, capacity, director and number of stars.

(a) A child care facility will apply to the licensing authority for an amended license in order to change the director. The child care facility must notify the licensing authority within 24 hours after the child care facility becomes aware of the need to name a new director, submit an application (Fee \$20.00) and, if necessary, appoint a temporary acting director with the minimum requirements of a high school diploma or GED and three years of experience. The temporary acting director's appointment is valid for 90 days.

(b) A notarized application must be submitted for a change of capacity (Fee \$20.00). Application for an increase or decrease of capacity will not be approved nor an amended license issued until an on-site visit has been made by the licensing authority to determine that the child care facility meets all applicable codes and regulations. A child care facility must not accept additional children or change the layout of the child care facility until the licensing authority has approved and issued the amended license.

(c) A child care facility will apply to the licensing authority for an amended license in order to change the number of stars. An application for a different star level will not be approved nor an amended license issued until on-site visits have been made and it has been determined that the child care facility meets all applicable criteria.

(4) **PROVISIONAL 2-STAR LICENSE:** Newly licensed programs receiving child care subsidy will be given a provisional 2-star license for up to three months, pending observation by the licensing authority of the interactions between teachers and children in the classrooms.

**B. RENEWAL OF LICENSE:**

(1) A licensee will submit a notarized renewal application, indicating the number of stars requested, on forms provided by the licensing authority, along with the required fee, at least 30 days before expiration of the current license. CYFD-approved nationally accredited centers, homes and out of school time programs will submit copies of their current accreditation certificates along with their renewal application. Applications postmarked less than 30 days prior to the expiration date will be considered late and a \$25.00 late fee must be submitted with the renewal fee.

(2) All licensed facilities must maintain an original background check eligibility letter for all current employees and applicable volunteers, including a signed statement annually by each staff person certifying that they would or would not be disqualified as a direct provider of care under the most current version of the Background Checks and Employment History Verification provisions pursuant to 8.8.3 NMAC. This will include all adults and teenage children living in a family child care or group child care home operated in a private residence. The teenage child's guardian shall sign the annual statement on behalf of the teenage child.

(3) Upon receipt of a notarized renewal application, the required fee and the completion of an on-site survey, the licensing authority will issue a new license effective the day following the date of expiration of the current license, if the child care facility is in compliance with these regulations.

(4) If a licensee fails to submit a notarized renewal application with the required fee before the current license expires, the licensing authority may require the agency to cease operations until all licensing requirements are completed.

**C. POSTING OF LICENSE:** A child care facility will post the license on the licensed premises in an area readily visible to parents, staff members, and visitors.

**D. NON-TRANSFERABLE RESTRICTIONS OF LICENSE:** A licensee will not transfer a license by assignment or otherwise to any other person or location. The license will be void and the licensee will return it to the licensing authority when:

- (1) the owner of the child care facility changes;
- (2) the child care facility moves;
- (3) the licensee of the child care facility changes; or
- (4) the child care facility closes.

**E. AUTOMATIC EXPIRATION OF LICENSE:** A license will expire automatically at midnight on the expiration date noted on the license unless earlier suspended or revoked, or:

- (1) on the day a child care facility closes;
- (2) on the day a child care facility is sold, leased, or otherwise changes ownership or licensee;
- (3) on the day a child care facility moves.

**F. ACCREDITED PROGRAMS:** Accredited programs must meet and maintain all licensing standards and their CYFD-approved national accreditation without a lapse in order to be designated as a 5-star facility. The licensing authority may, at its option, notify the program's accrediting body of the program's failure to meet and maintain licensing standards.

[8.16.2.11 NMAC - Rp, 8.16.2.11 NMAC, 11/30/12]

**8.16.2.12 LICENSING ACTIONS AND ADMINISTRATIVE APPEALS:**

A. The licensing authority may revoke, suspend, or restrict a license, reduce star status, deny an initial or renewal license application, impose monetary sanctions pursuant to 7.1.8 NMAC, put in place conditions of operation, impose other sanctions or requirements against a licensee, or reduce to a base level of child care assistance reimbursement a licensee who is in receipt of a higher than base level of child care assistance reimbursement, for any of the following reasons:

- (1) violation of any provision of these regulations, especially when the licensing authority has reason to believe that the health, safety or welfare of a child is at risk, or has reason to believe that the licensee cannot reasonably safeguard the health and safety of children;
- (2) failure to allow access to the licensed premises by authorized representatives of the licensing authority;
- (3) misrepresentation or falsification of any information on an application form or any other form or record required by the licensing authority;
- (4) allowing any person to be active in the child care facility who is or would be disqualified as a direct provider of care under the most current version of the Background Checks and Employment History Verification provisions pursuant to 8.8.3 NMAC; this will include all adults and teenaged children living in a family child care or group child care home operated in a private residence;
- (5) failure to timely obtain required background checks;
- (6) failure to properly protect the health, safety and welfare of children due to impaired health or conduct or hiring or continuing to employ any person whose health or conduct impairs the person's ability to properly protect the health, safety, and welfare of the children;
- (7) allowing the number of children in the child care facility to exceed its licensed capacity;
- (8) failure to comply with provisions of the other related regulations listed in these regulations;
- (9) discovery of repeat violations of the regulations or failure to correct deficiencies of survey findings in current or past contiguous or noncontiguous licensure periods;
- (10) discovery of prior revocations or suspensions that may be considered when reviewing a facility's application for licensure or license renewal;
- (11) loss of accreditation, regardless of reason, will result in a reduction in star status;
- (12) possessing or knowingly permitting non-prescription controlled substances or illegal drugs to be present or sold on the premises at any time, regardless of whether children are present; or
- (13) making false statements or representations to the licensing authority with the intent to deceive, which the licensee knows, or should know to be false.

B. Commencement of a children, youth and families department or law enforcement investigation may be grounds for immediate suspension of licensure pending the outcome of the investigation. Upon receipt of the final results of the investigation, the department may take such further action as is supported by the investigation results.

C. The children, youth and families department notifies the licensee in writing of any action taken or contemplated against the license/licensee. The notification shall include the reasons for the department's action.

D. The licensee may obtain administrative review of any action taken or contemplated against the license/licensee.

E. The administrative review shall be conducted by a hearing officer appointed by the department's secretary.

F. If the action is to take effect immediately, the department affords the licensee the opportunity for an administrative appeal within five working days. If the license is suspended pending the results of an investigation, the licensee may elect to postpone the hearing until the investigation has been completed.

G. If the contemplated action does not take immediate effect, and the licensee is given advance notice of the contemplated action, the licensee is allowed 10 working days from date of notice to request an administrative appeal.

H. In circumstances in which Public Health Act NMSA 1978 Subsection N of Section 24-1-5 (2005) may apply, and in which other provisions of this regulation are not adequate to protect children from imminent danger of abuse or neglect while in the care of a licensee, the provisions of Subsection N of Section 24-1-5 shall apply as follows.

(1) The department shall consult with the owner or operator of the child care facility.

(2) Upon a finding of probable cause, the department shall give the owner or operator notice of its intent to suspend operation of the child care facility and provide an opportunity for a hearing to be held within three working days, unless waived by the owner or operator.

(3) Within seven working days from the day of notice, the secretary shall make a decision, and, if it is determined that any child is in imminent danger of abuse or neglect in the child care facility, the secretary may suspend operation of the child care facility for a period not in excess of 15 days.

(4) Prior to the date of the hearing, the department shall make a reasonable effort to notify the parents of children in the child care facility of the notice and opportunity for hearing given to the owner or operator.

(5) No later than the conclusion of the 15 day period, the department shall determine whether other action is warranted under this regulation.

(6) Nothing in Subsection H of 8.16.2.12 NMAC shall be construed to require licensure that is not otherwise required in this regulation.

I. The licensing authority may require a direct provider of care to undergo an additional background check if information shows any of the following:

- (1) that the direct provider of care has pending charges for any criminal offense;
- (2) that the direct provider of care has a pending or substantiated CYFD protective services or juvenile justice service referral;
- (3) that the direct provider of care has any criminal history or history of a referral to CYFD protective services or juvenile justice services discovered after the most recent background check; or
- (4) that the direct provider of care is the subject of an allegation of abuse and neglect in any licensed facility.

J. There shall be no right to administrative review for reduction in star level resulting from loss of, or failure to maintain, national accreditation status. The licensee shall be bound by the rules, regulations, policies and procedures implemented by the national accreditation body that governs its accreditation process.

K. The licensee shall notify the licensing authority within 48 hours of any adverse action by the national accreditation body against the licensee's national accreditation status, including but not limited to expiration, suspension, termination, revocation, denial, nonrenewal, lapse or other action that could affect its national accreditation status. The licensing authority shall reduce the star level of the license to star level 2 until the licensee regains national accreditation status, or until the facility can be verified at a level higher than star level 2. Child care subsidies shall be adjusted to correspond with any reductions or increases to star level.

[8.16.2.12 NMAC - Rp, 8.16.2.12 NMAC, 11/30/12]

#### **8.16.2.13 CIVIL MONETARY PENALTIES:**

A. The following factors shall be considered by the licensing authority when determining whether to impose civil monetary penalties:

- (1) death or serious injury to a child;
- (2) abuse, neglect or exploitation of a child;
- (3) regulatory violations which immediately jeopardize the health and safety of a child;
- (4) numerous violations, which combined, jeopardize the health and safety of a child;
- (5) repetitive violations of the same nature found during two or more consecutive on-site visits or surveys of a child care facility;
- (6) failure of a child care facility to correct violations found during previous surveys or visits;
- (7) intentional misrepresentation regarding condition of the facility;
- (8) effect of a civil monetary penalty on financial viability of the facility; or
- (9) extenuating circumstances, which allow the licensing authority greater discretion to consider both mitigating and exacerbating circumstances not specifically defined.

B. An initial base penalty amount is assessed when a civil monetary penalty is imposed. The base penalty amount is calculated at the rate of the most serious deficiency. For example, the base penalty amount is assessed at the rate applicable to a class A deficiency when the survey or investigation results in citation of regulatory violations comprising class A, class B, and class C deficiencies, because the most serious regulatory violation is the class A deficiency. The base penalty is assessed once for the deficiencies cited by the licensing authority during any particular survey or investigation.

C. The licensing authority has the discretion to impose an initial base penalty at any amount within the range for each deficiency level.

- (1) Class A deficiency: not less than \$500 and not greater than \$5,000.
- (2) Class B deficiency: not less than \$300 and not greater than \$3,000.
- (3) Class C deficiency: not less than \$100 and not greater than \$500.

[8.16.2.13 NMAC - Rp, 8.16.2.13 NMAC, 11/30/12]

#### **8.16.2.14 WAIVERS:**

A. Programs, facilities or homes licensed under these regulations may request a waiver from any of the requirements of these regulations by applying, in writing, to the licensing authority for a waiver. The request should identify the regulatory requirement for which a waiver is requested, the reason for the waiver, and any action proposed to meet the intent of the regulation.

B. Requests for waivers that involve construction of any type on a current licensed premise must be reviewed and approved by the licensing authority prior to the initiation of the construction.

C. Requests for waivers will be reviewed and approved or denied within 30 calendar days of receipt by the licensing authority.

[8.16.2.14 NMAC - Rp, 8.16.2.14 NMAC, 11/30/12]

#### **8.16.2.15 VARIANCES - CURRENTLY LICENSED FACILITIES:**

A. If a child care facility licensed on the date these regulations are promulgated provides the services prescribed but fails to meet all building requirements, the licensing authority will grant a variance, provided that the variances granted:

- (1) will not create a hazard to the health, safety, or welfare of children and staff members; and
- (2) is for building requirements that cannot be corrected without an unreasonable expense to the child care facility.

B. Variances granted will continue in force as long as the child care facility continues to provide services pursuant to these regulations and will not violate the criteria of Subsection A of this section.

C. The licensing authority will grant a variance for those requirements contained in 8.16.2.8 NMAC *related regulations and codes* if the licensee provides written documentation from the relevant authority identified in these regulations that the licensee complies with those requirements or has been granted a waiver or variance from them. [8.16.2.15 NMAC - Rp, 8.16.2.15 NMAC, 11/30/12]

**8.16.2.16 VARIANCES - NEW CHILD CARE FACILITY:** A new child care facility may be located in an existing building or a newly constructed building.

A. If opened in an existing building, the licensing authority may grant a variance for those building requirements the child care facility cannot meet provided any variance is not in conflict with existing building and fire codes.

B. A new child care facility opened in a newly constructed building will meet all requirements of these regulations.

C. The licensing authority will make all variances granted a permanent part of the child care facility file.

D. The licensing authority may grant a variance for those requirements contained in 8.16.2.8 NMAC *related regulations and codes* if the licensee provides written documentation from the relevant authority identified in these regulations that the licensee complies with those requirements or has been granted a waiver or variance from them. [8.16.2.16 NMAC - Rp, 8.16.2.16 NMAC, 11/30/12]

#### **8.16.2.17 SURVEYS FOR CHILD CARE FACILITIES:**

A. The licensing authority will conduct a survey at least twice a year in each child care facility using these regulations as criteria. The licensing authority will conduct additional surveys or visit the child care facility additional times to provide technical assistance, to check progress on correction of deficiencies found on previous surveys, or to investigate complaints.

B. Upon the completion of a survey, the licensing authority will discuss the findings with the licensee or their representative and will provide the child care facility with an official written report of the findings and a request for a plan or plans of correction, if appropriate.

C. The licensee, director, or operator, will submit within 10 working days after the date of the survey, a corrective action plan to the licensing authority for deficiencies found during the survey. The corrective action plan will be specific on how and when the child care facility will correct the deficiency or deficiencies.

D. The licensing authority may accept the corrective action plan as written or require modifications of the plan.

E. By applying for either a new license or a license renewal, the licensee grants the licensing authority representative the right to enter the premises and survey the child care facility, including inspection and copying of child care facility records, both while the application is being processed and, if licensed, at any time during the licensure period.

F. The licensing authority may or may not announce a survey. At all times, a person who is knowledgeable in the daily operations, has access to all records and locked areas, and can represent the licensee or director for survey purposes will be present in the child care facility.

[8.16.2.17 NMAC - Rp, 8.16.2.17 NMAC, 11/30/12]

#### **8.16.2.18 COMPLAINTS:**

A. The licensing authority will process any complaint regarding any child care facility licensed or required to be licensed under these regulations. The investigatory authority of the licensing authority is limited to matters pertaining to these regulations.

B. A licensing authority representative receiving complaints will ask complainants to identify themselves and provide all information necessary to document the complaint.

C. The licensing authority will investigate any complaint in which the health, safety, or welfare of a child could be in danger. The complaint will be reviewed and prioritized immediately according to the nature and severity of the complaint. The licensing authority follows established protocols and procedures for prioritizing, tracking, initiating and reporting of complaints and complaint investigations. Complaints will be investigated in a timely manner as follows.

- (1) Priority 1 complaints: investigation will be initiated within 24 hours

- (2) Priority 2 complaints: investigation will be initiated within three working days.
- (3) Priority 3 complaints: investigation will be initiated within five working days.
- (4) Initiation timeframes for investigations may be shortened based on the severity and nature of the complaint, but timeframes may not be extended.

D. The licensee shall cooperate in good faith with any investigation by the licensing authority. Obstruction of an investigation may subject the licensee to sanctions, up to revocation.

E. Action by the licensing authority:

(1) The licensing authority will provide a written letter on the results of the investigation to both the licensee of the child care facility that is the subject of the complaint and the complainant.

(2) If the licensing authority finds the complaint is unsubstantiated, it will be so designated and the licensing authority will take no further action.

(3) If the licensing authority finds that a complaint is substantiated, it will make the complaint part of the licensing authority's file on the child care facility. The following additional actions will, at the discretion of the licensing authority, be taken:

(a) the licensing authority will require the child care facility to submit and comply with a written corrective action plan; or

(b) the licensing authority will sanction the child care facility administratively including, without limitation, suspension, revocation, or restriction of a license; or

(c) the licensing authority will file criminal charges or pursue civil remedies.

F. The licensing authority will report all cases of suspected child abuse and neglect to both children's protective services and the local law enforcement agency.

[8.16.2.18 NMAC - Rp, 8.16.2.18 NMAC, 11/30/12]

**8.16.2.19 BACKGROUND CHECKS:** Background checks will be conducted in accordance with the most current regulations related to Background Checks and Employment History Verification provisions as promulgated by the children, youth and families department pursuant to 8.8.3 NMAC. All licensed child care facilities must adhere to these provisions to maintain their licensing status.

[8.16.2.19 NMAC - Rp 8.16.2.19 NMAC, 11/30/12]

**8.16.2.20 CHILD CARE CENTER REGULATIONS:**

A. **APPLICABILITY TO CHILD CARE CENTERS:** A center required to be licensed under regulations in 8.16.2.21 NMAC through 8.16.2.29 NMAC is one that provides care, education, services and supervision to children for less than 24 hours a day to children in a non-residential setting, and is not exempted from regulation under any of the exceptions listed in 8.16.2.9 NMAC.

B. **NEW OR INNOVATIVE PROGRAMS FOR PROVIDING CHILD CARE TO CHILDREN:** A new or innovative service for child care that is typically not governed by these regulations will be licensed if there is a substantiated need for the service and if it meets all requirements outlined in Paragraphs (1), (2) and (3) of Subsection C. New or innovative programs shall adhere to all basic licensing standards regulations except that the licensing authority may grant waiver(s) to the extent necessary to accommodate new and innovative services which may conflict with any regulations pertaining to curriculum and environment.

C. **SPECIAL REQUIREMENTS FOR NEW OR INNOVATIVE CHILD CARE CENTERS:** Applicants for new or innovative child-care services that do not fit under these regulations will submit a proposal to the licensing authority for review and approval. Applications shall be presented to the department for review. The proposal will include:

(1) an explanation of any special needs or modifications for the children who will be receiving these services;

(2) identification of those portions of the proposed program that would conflict with these regulations; and

(3) statement of how the proposed center will modify or provide alternative measures, policies and procedures that meet the intent of these regulations.

D. **SPECIAL REQUIREMENTS FOR CENTERS LOCATED ON OR NEAR THE PREMISES OF**

**CORRECTIONAL FACILITIES:** Applicants for centers located on or near correctional facilities will submit a proposal to the licensing authority for review and approval. The proposal will include:

(1) an explanation of security modifications that are deemed necessary to ensure the safety of the staff, parents, and children using the child care center; and

(2) statement of how the proposed center will modify or provide alternative measures, policies and procedures that meet the intent of these regulations if the proposed program is in conflict with these regulations.

[8.16.2.20 NMAC - Rp, 8.16.2.20 NMAC, 11/30/12]

**8.16.2.21 LICENSURE REQUIREMENTS FOR CENTERS:**

#### A. LICENSING REQUIREMENTS:

(1) **APPLICATION FORM:** An applicant will complete an application form provided by the licensing authority and include payment for the non-refundable application fee. Applications will be rejected unless all supporting documents are received within six months of the date indicated on the application. A 45 day extension will be granted if the licensee provides documentation to the licensing authority that documents were submitted to the appropriate agencies in a timely manner but, through no fault of their own, they have not received responses from these agencies.

(2) **BACKGROUND CHECK:** The licensing authority will provide a copy of the most current version of the department's Background Check and Employment History Verification provisions, fingerprint cards and instructions for completing them, and forms for recording an employment history. The licensee will be responsible for obtaining background checks on all staff, volunteers, and prospective staff as per the requirements outlined in the department's most current version of the Background Check and Employment History Verification provisions. All requirements of the current Background Check and Employment History Verification provisions pursuant to 8.8.3 NMAC must be met prior to the issuance of an initial license.

(3) **ZONING, BUILDING AND OTHER APPROVALS:** An applicant will have: current written finalized zoning approval from the appropriate city, county or state authority; current written building approval, such as a certificate of occupancy, from the appropriate city, county or state authority; current written approval of the state fire marshal office or other appropriate city, county or state fire-prevention authority; current written approval from the New Mexico environment department or other environmental health authority for:

- (a) a kitchen, if meals are prepared on site and served in the center;
- (b) private water supply, if applicable;
- (c) private waste or sewage disposal, if applicable; and
- (d) a swimming pool, if applicable.

(4) **ACCESS REQUIREMENTS FOR INDIVIDUALS WITH DISABILITIES IN NEW CENTERS:**

(a) Accessibility to individuals with disabilities is provided in all new centers and will include the following:

- (i) main entry into the center is level or has a ramp to allow for wheelchair access;
- (ii) building layout allows for access to the main activity area;
- (iii) access to at least one bathroom is required to have a door clearance of 32 inches; the toilet unit also provides a 60-inch diameter turning radius;
- (iv) if ramps are provided to the building, the slope of each ramp is at least a 12-inch horizontal run for each inch of vertical rise; and
- (v) ramps exceeding a six-inch rise are provided with handrails.

(b) Requirements contained herein are minimum and additional disability requirements may apply depending on the size and complexity of the center.

(5) **SCHEDULE:** All applications for a new license will include a description of the center's proposed activities and schedule.

(6) **INITIAL SURVEY:** The licensing authority will schedule a survey for a center when it receives a complete application with all supporting documents.

#### B. CAPACITY OF CENTERS:

(1) The number of children in a center, either in total or by age, will not exceed the capacity stated on the license.

(2) The licensing authority will count all children in the care of the licensed facility, including school-age children and the children of staff members and volunteers, in the capacity of the facility, even if the children are on a field trip or other outing outside the licensed premises. The licensed capacity must not be exceeded by the presence of school-age children.

(3) A center must meet the following space requirements.

(a) 35 square feet of indoor activity space measured wall to wall on the inside for each child in a center, excluding single-use areas, such as restrooms, kitchens, halls and storage areas, and excluding offsets and built-in fixtures.

(b) 75 square feet of outdoor activity space for each child using the area at one time. The center will post on the doors to the playground the maximum capacity of the playground.

(c) Centers must post classroom capacities and ratios in an area of the room that is easily visible to parents, staff and visitors.

#### C. INCIDENT REPORTING REQUIREMENTS:

(1) The licensee will report to the appropriate authorities the following incidents. After making a report to the appropriate authorities, the licensee shall notify the licensing authority of the incident giving rise to its report as soon

as possible but no later than 24 hours after the incident occurred. A report should first be made by telephone and followed with written notification. The licensee shall report any incident that has threatened or could threaten the health and safety of children and staff members, such as, but not limited to:

- (a) a lost or missing child;
- (b) the death of a child;
- (c) the abuse or neglect of a child;
- (d) any incident, including but not limited to accidents, illness, and injuries, that requires medical care beyond on-site first aid;
- (e) fire, flood, or other natural disaster that creates structural damages to a center or poses a health hazard;
- (f) any of the illnesses on the current list of notifiable diseases and communicable diseases published by the office of epidemiology of the New Mexico department of health;
- (g) any legal action against a center or staff members related to the care and custody of children;
- (h) any declaration of intention or determination to inflict punishment, loss, injury or pain on child or staff member by the commission of an unlawful act, such as, but not limited to, a bomb threat;
- (i) the use of physical or mechanical restraints, unless due to documented emergencies or medically documented necessity; or
- (j) any known change in an educator's health condition or use of medication that impairs his or her ability to provide for the health, safety or welfare of children in care.

(2) A center will notify parents or guardians in writing of any incident, including notifiable illnesses, that has threatened the health or safety of children in the center. Incidents include, but are not limited to those listed in Paragraph (1) of Subsection C of 8.16.2.21 NMAC.

(3) Incident reports involving suspected child abuse and neglect must be reported immediately to children's protective services and local law enforcement. The licensing authority follows written protocols/procedures for the prioritization, tracking, investigation and reporting of incidents, as outlined in the complaint investigation protocol and procedures.

[8.16.2.21 NMAC - Rp, 8.16.2.21 NMAC, 11/30/12]

#### **8.16.2.22 ADMINISTRATIVE REQUIREMENTS FOR CENTERS:**

A. ADMINISTRATION RECORDS: A licensee will display in a prominent place that is readily visible to parents, staff and visitors:

- (1) all licenses, certificates, and most recent inspection reports of all state and local government agencies with jurisdiction over the center;
- (2) the current child care regulations;
- (3) dated weekly menus for meals and snacks;
- (4) the guidance policy; and
- (5) the current list of notifiable diseases and communicable diseases published by the office of epidemiology of the New Mexico department of health.

B. MISSION, PHILOSOPHY AND CURRICULUM STATEMENT: All licensed facilities must have a:

- (1) mission statement;
- (2) philosophy statement; and
- (3) curriculum statement.

C. POLICY AND PROCEDURES: All facilities using these regulations must have written policies and procedures covering the following areas:

- (1) actions to be taken in case of accidents or emergencies involving a child, parents or staff members;
- (2) policies and procedures for admission and discharge of children;
- (3) policies and procedures for the handling of medications;
- (4) policies and procedures for the handling of complaints received from parents or any other person;
- (5) policies and procedures for actions to be taken in case a child is found missing from the center;
- (6) policies and procedures for the handling of children who are ill;
- (7) an up to date emergency evacuation and disaster preparedness plan, which shall include steps for evacuation, relocation and reunification with parents, and individual plans for children with special needs; the plan shall be approved annually by the licensing authority and the department will provide guidance on developing these plans.

D. PARENT HANDBOOK: All facilities using these regulations must have a parent handbook which includes the following:

- (1) GENERAL INFORMATION:

- (a) mission statement;
- (b) philosophy statement;
- (c) program information (location, license information, days and hours of operation, services offered);
- (d) name of director and how he/she may be reached;
- (e) meals, snacks and types of food served (or alternatively, guidelines for children bringing their own food);
- (f) daily schedule;
- (g) a statement supportive of family involvement that includes an open door policy to the classroom;
- (h) appropriate dress for children, including request for extra change of clothes;
- (i) celebrating holidays, birthdays and parties;
- (j) disclosure to parents that the licensee does not have liability or accident insurance coverage.

(2) POLICIES AND PROCEDURES:

- (a) enrollment procedures;
- (b) disenrollment procedures;
- (c) fee payment procedures, including penalties for tardiness;
- (d) notification of absence;
- (e) fee credits, if any (e.g. for vacations, absences, etc.);
- (f) field trip policies;
- (g) health policies (program's policies on admitting sick children, when children can return after an illness, administering medication, and information on common illnesses);
- (h) emergency procedures, safety policies, and disaster preparedness plan;
- (i) snow days and school closure;
- (j) confidentiality policy;
- (k) child abuse/neglect reporting procedure; and
- (l) guidance policy

E. CHILDREN'S RECORDS: A center will maintain a complete record for each child, including drop-ins, completed before the child is admitted. Records will be kept at the center for 12 months after the child's last day of attendance. Records will contain at least:

(1) PERSONAL INFORMATION:

- (a) name of the child; date of birth, gender, home address, mailing address and telephone number;
- (b) names of parents or guardians, parents or guardians current places of employment, addresses, pager, cellular and work telephone numbers;
- (c) a list of people authorized to pick up the child and an authorization form signed by parent or guardian; identification of person authorized by the parent or guardian to pick up the child shall be verified at pick up;
- (d) date the child first attended the center and the date of the child's last day at the center;
- (e) a copy of the child's up-to-date immunization record or a public health division approved exemption from the requirement;
- (f) a record of any accidents, injuries or illnesses which require first aid or medical attention which must be reported to the parent or guardian;
- (g) a record of observations of recent bruises, bites or signs of potential abuse or neglect, which must be reported to CYFD;
- (h) written authorization from the child's parent or guardian to remove a child from the premises to participate in off-site activities; authorization must contain fieldtrip destination, date and time of fieldtrip and expected return time from fieldtrip;
- (i) written authorization from the child's parent or guardian for the educator to apply sunscreen, insect repellent and, if applicable, diaper cream to the child.
- (j) a record of the time the child arrived and left the center and dates of attendance initialed by a parent, guardian, or person authorized to pick up the child;
- (k) an enrollment agreement form which must be signed by a parent or guardian with an outline of the services and the costs being provided by the facility; and
- (l) a signed acknowledgment that the parent or guardian has read and understands the parent handbook.

(2) EMERGENCY INFORMATION:

- (a) Information on any allergies or medical conditions suffered by the child.
- (b) The name and telephone number of two people in the local area to contact in an emergency when a parent or guardian cannot be reached. Emergency contact numbers must be kept up to date at all times.

(c) The name and telephone number of a physician or emergency medical center authorized by a parent or guardian to contact in case of illness or emergency.

(d) A document giving a center permission to transport the child in a medical emergency and an authorization for medical treatment signed by a parent or guardian.

(e) if applicable, legal documentation regarding the child, including but not limited to: restraining orders, guardianship, powers of attorney, court orders, and custody by children's protective services.

#### F. PERSONNEL RECORDS:

(1) A licensee will keep a complete file for each staff member, including substitutes and volunteers working more than six hours of any week and having direct contact with the children. A center will keep the file for one year after the educator's last day of employment. Records will contain at least the following:

(a) name, address and telephone number;

(b) position;

(c) current and past duties and responsibilities;

(d) dates of hire and termination;

(e) documentation of a background check and employment history verification; if background check is in process then documentation showing that it is in process, such as a money order, shall be placed in file;

(f) an annual signed statement that the staff member would or would not be disqualified as a direct provider of care under the most current version of the Background Checks and Employment History Verification provisions pursuant to 8.8.3 NMAC;

(g) documentation of current first-aid and cardiopulmonary resuscitation training;

(h) documentation of all appropriate training by date, time, hours and area of competency;

(i) emergency contact number;

(j) universal precaution acknowledgment form;

(k) confidentiality form;

(l) results of performance evaluations;

(m) administrative actions or reprimands;

(n) written plan for ongoing professional development for each staff member, including the director, that is based on the seven areas of competency, consistent with the career lattice, and based on the individual's goals; and

(o) signed acknowledgment that the staff have read and understand the personnel handbook;

(p) signed acknowledgement that all staff have reviewed and are aware of the center's disaster preparedness plan and evacuation plan.

(q) Form I-9, employment eligibility verification.

(2) A center will maintain dated weekly work schedules for the director, all staff, all educators and volunteers and keep the records on file for at least 12 months. The record will include the time the workers arrived at and left work and include breaks and lunch.

G. PERSONNEL HANDBOOK: The center will give each employee a personnel handbook that covers all matters relating to employment and includes the following critical contents:

(1) organizational chart;

(2) job descriptions of all employees by title;

(3) benefits, including vacation days, sick leave, professional development days, health insurance, break times, etc.

(4) code of conduct;

(5) training requirements, career lattice, professional development opportunities;

(6) procedures and criteria for performance evaluations;

(7) policies on absence from work;

(8) grievance procedures;

(9) procedures for resignation or termination;

(10) copy of licensing regulations;

(11) policy on parent involvement;

(12) health policies related to both children and staff;

(13) policy on sexual harassment;

(14) child guidance policy;

(15) confidentiality statement; and

(16) plan for retention of qualified staff.

[8.16.2.22 NMAC - Rp, 8.16.2.22 NMAC, 11/30/12]

**8.16.2.23 PERSONNEL AND STAFFING REQUIREMENTS FOR CENTERS:**

**A. PERSONNEL AND STAFFING REQUIREMENTS:**

(1) An employer will not allow any employee involved in an incident which would disqualify that employee under the department’s most current version of the Background Check and Employment History Verification provisions pursuant to 8.8.3 NMAC to continue to work directly or unsupervised with children.

(2) All educators will demonstrate the ability to perform essential job functions that reasonably ensure the health, safety and welfare of children in care.

(3) Educators who work directly with children and who are counted in the staff/child ratios must be 18 years of age or older.

(4) Clerical, cooking and maintenance personnel who also care for children and are included in the staff/child ratio will have a designated schedule showing their normal hours in each role. Educators counted in the staff/child ratios will not have as their primary responsibility cooking, clerical or cleaning duties while caring for children.

(5) Volunteers shall not be counted in the staff/child ratios or left alone with children unless they meet all requirements for staff members.

(6) Substitutes and part-time educators counted in the staff/child ratios will meet the same requirement as regular staff members except for training requirements, professional development plan and evaluations. Substitutes, volunteers, and educators routinely employed in a center but working 20 hours or fewer a week will complete half the required training hours. Such employees working more than 20 hours a week will meet full training requirements and have professional development plans and evaluations. See Paragraph (2) of Subsection B of 8.16.2.23 NMAC for additional training requirements.

(7) A director is responsible for one center only. Directors who are responsible for more than one center on the date these regulations are promulgated shall continue in that capacity. The director or co-director must be on the site of the center for a minimum of 50% of the center’s core hours of operation. See Paragraph (2) of Subsection F of 8.16.2.22 NMAC.

(8) During any absence, the director will assign a person to be in charge and will post a notice stating the assignment.

(9) A program will maintain staff/child ratios at all times. Children must never be left unattended whether inside or outside the facility. Staff will be onsite, available and responsive to children during all hours of operation.

(10) A center will have a minimum of two staff members present at all times. If the center has fewer than seven children, the second educator may conduct other activities such as cooking, cleaning, or bookkeeping.

(11) A center will keep a list of at least two people who can substitute for any staff member. The list will include the people’s names, telephone numbers, background check, health certificates and record of orientation.

(12) Each room of the center and its premises shall be inspected at closing time on a daily basis to assure the center is secure, free of hazards, and that no child has been left unattended.

**B. STAFF QUALIFICATIONS AND TRAINING:**

**(1) DIRECTOR QUALIFICATIONS:**

(a) Unless exempted under Subparagraph (b) below, a child care center will have a director who is at least 21 years old and meets the requirements outlined in the table below. **Professional**

**Preparation**

Program Administration and The first of three AA-level Early Childhood Program Administration courses in the Early Childhood Program Administration career pathway: *Program Management 1*  
Or

The National Administrator Credential (NAC)\*

Two years experience in an early childhood growth and development setting

**Experience**

Child Development/Early Childhood Education  
*Child Growth, Development and Learning* (one of the AA-level “common core courses”)\*\*

The Provisional AA-Level NM Early Childhood Program Administration Certificate (All three AA-level Early Childhood Program Administration Courses and Practicum: *Program Management 1, Effective Program Development for Diverse Learners and Their Families & Practicum, Professional Relationships & Practicum*)  
 The New Mexico Child Development Certificate (CDC) (Includes the following four courses as well as additional non-coursework requirements: *Child Growth, Development and Learning; Health, Safety and Nutrition; Family and Community Collaboration; and Assessment of Children and Evaluation of Programs*)  
 The Child Development Associate (CDA) certificate  
 The Child Care Professional (CCP) certificate  
 The New Mexico Early Childhood Program Administration Certificate  
 Montessori Teacher Certification  
 The New Mexico One-Year Vocational Certificate  
 Associate of Arts (AA) or Applied Sciences (AA or AAS) in child development or early childhood education

**Or**

A bachelor's degree or higher in \_\_\_\_\_ and \_\_\_\_\_ One year of experience in an early childhood education or a related field. \_\_\_\_\_ childhood growth and development setting

Related fields include: early childhood special education, family studies, family and consumer sciences, elementary education with early childhood endorsement or other degree with successful completion of courses in early childhood.

\*The NAC and two years of experience in an early childhood growth and development setting will be accepted as sufficient qualification for a director under the following conditions: a) The NAC was received prior to November 30, 2012 and b) the NAC has been maintained and has not expired subsequent to November 30, 2012. 8.16.2 NMAC 18

\*\* Directors shall be given until the end of the first full academic semester following their start date to successfully complete this course.

(b) Current directors in a licensed center not qualified under these regulations will continue to qualify as directors as long as they continuously work as a director. Current directors having a break in employment of more than one year must meet the requirements as specified in Subparagraph (a) above.

(2) TRAINING:

(a) The director will develop and document an orientation and training plan for new staff members and volunteers and will provide information on training opportunities. The director will have on file a signed acknowledgment of completion of orientation by employees, volunteers and substitutes as well as the director. New staff members will participate in an orientation before working with children. Initial orientation will include training on the following:

- (i) scope of services, activities, and the program offered by the center;
- (ii) emergency first aid procedures, recognition of childhood illness and indicators of child abuse;
- (iii) fire prevention measures, emergency evacuation plans and disaster preparedness plans;
- (iv) review of licensing regulations;
- (v) policies regarding guidance, child abuse reporting, and handling of complaints;
- (vi) review of written policies and procedures as defined in Subsection C of 8.16.2.22 NMAC;
- (vii) center/parental agreement;
- (viii) sanitation procedure;
- (ix) written goals of the program;
- (x) personnel handbook;
- (xi) parent handbook;
- (xii) names and ages of children;
- (xiii) names of parents;
- (xiv) tour of the facility; and
- (xv) introduction to other staff and parents.

(b) New staff members working directly with children regardless of the number of hours per week will complete the 45-hour entry level course or approved three-credit early care and education course or an equivalent approved by the department prior to or within six months of employment. Substitutes are exempt from this requirement.

(c) Each staff person working directly with children and more than 20 hours per week, including the director, is required to obtain at least 24 hours of training each year. For this purpose, a year begins and ends at the anniversary date of employment. Training must address first aid, CPR, infection control and at least three competency areas within one year and all seven areas within two years. The competency areas are 1) child growth, development, and learning; 2) health, safety, nutrition, and infection control; 3) family and community collaboration; 4) developmentally appropriate content; 5) learning environment and curriculum implementation; 6) assessment of children and programs; and 7) professionalism.

(d) Training must be provided by individuals who are registered on the New Mexico trainer registry.

(e) Training provided by center employees and directors shall count for no more than half of the required 24 hours of training each year.

(f) On-line training courses shall count for no more than 16 hours each year. If the 45-hour entry level course or its equivalent is taken online, it is exempt from the online training limitation.

(g) Online first aid and CPR training will not be approved.

(h) Identical trainings shall not be repeated for the purpose of obtaining credit.

(i) Directors may count hours in personnel and business training toward the training requirement.

(j) Infant and toddler educators must have at least four hours of training in infant and toddler care annually and within six months of starting work. The four hours will count toward the 24-hour requirement.

(k) A center will keep a training log on file with the employee's name, date of hire, and position. The log must include date of training, clock hours, competency area, source of training, and training certificate. 8.16.2 NMAC

(l) A college credit hour in a field relevant to the competency areas listed above will be considered equivalent to a minimum of 15 clock hours.

(m) See Paragraph (6) of Subsection A of 8.16.2.23 NMAC for requirements for centers that operate less than 20 hours per week.

#### C. STAFF/CHILD RATIOS:

(1) Centers where children are grouped by age:

(a) Age in group: six weeks through 24 months. Staffing: one adult for every six children or fraction of group thereof.

(b) Age in group: two years. Staffing: one adult for every 10 children or fraction of group thereof.

(c) Age in group: three years. Staffing: one adult for every 12 children or fraction of group thereof.

(d) Age in group: four years. Staffing: one adult for every 12 children or fraction of group thereof.

(e) Age in group: five years. Staffing: one adult for every 15 children or fraction of group thereof.

(f) Age in group: six years and older. Staffing: one adult for every 15 children or fraction of group thereof.

(2) CENTERS WHERE AGE GROUPS ARE COMBINED

(a) Age in group: six weeks through 24 months. Staffing: one adult to every six children or fraction of group thereof.

(b) Age in group: two through four years. Staffing: one adult to every 12 children or fraction of group thereof.

(c) Age in group: three through five years. Staffing: one adult to every 14 children or fraction thereof.

(d) Age in group: six years and older. Staffing: one adult to every 15 children or fraction of group thereof.

(e) If a center groups toddlers ages 18 to 24 months with children ages 24 through 35 months, the staff/child ratio shall be maintained at one staff per six children.

(3) A center will schedule staff to minimize the number of primary educators a child has during the day and the week. A child will have no more than three primary, consecutive educators in any day including educators in the early morning and late afternoon.

(4) The same staff member who cares for the children under age two years will supervise those children when they play with children over two years.

[8.16.2.23 NMAC - Rp, 8.16.2.23 NMAC, 11/30/12]

#### **8.16.2.24 SERVICES AND CARE OF CHILDREN IN CENTERS:**

##### A. GUIDANCE:

(1) A center will have written policies and procedures clearly outlining guidance practices. Centers will give this information to all parents and staff who will sign a form to acknowledge that they have read and understand these policies and procedures.

- (2) Guidance will be consistent and age appropriate.
- (3) Guidance shall be positive and include redirection and clear limits that encourage the child's ability to become self-disciplined. The use of physical or mechanical restraints is prohibited unless due to documented emergencies or medically documented necessity.
- (4) A center will not use the following disciplinary practices:
  - (a) physical punishment of any type, including shaking, biting, hitting, pinching or putting anything on or in a child's mouth;
  - (b) withdrawal of food, rest, bathroom access, or outdoor activities;
  - (c) abusive or profane language, including yelling;
  - (d) any form of public or private humiliation, including threats of physical punishment; or
  - (e) unsupervised separation.

B. NAPS OR REST PERIOD: A center will provide physical care appropriate to each child's developmental needs that will include a supervised rest period.

- (1) Children under the age of six years in the centers for more than five hours will have a rest period.
- (2) A center will allow children who do not sleep to get up and participate in quiet activities that do not disturb the other children.
- (3) Cribs, cots or mats shall be spaced at least 30 inches apart to permit easy access by adults to each child. If the room used for sleeping cannot accommodate 30 inches of spacing between children, educators shall space children as far as possible from one another. There must be enough room to permit easy access to all children without moving cribs, cots or mats.
- (4) Each child will have an individual bed, cot, or mat clearly labeled to ensure each child uses the same items between washing.
- (5) Cots or mats will have a nonabsorbent, cleanable surface. Mats will be at least three-fourths of an inch thick. Mats and cots shall be cleaned and linens will be laundered before being used by another child.
- (6) Educators shall ensure that nothing covers the face or head of a child aged 12 months or younger when the child is laid down to sleep and while the child is sleeping. Educators shall not place anything over the head or face of a child over 12 months of age when the child is laid down to sleep and while the child is sleeping.
- (7) Children with disabilities or medical conditions that require unusual sleeping arrangements will have written authorization from a parent or physician justifying the sleeping arrangement.
- (8) Staff must be physically available to sleeping children at all times. Children must not be isolated for sleeping or napping in an un-illuminated room unless attended by an educator.
- (9) Illumination equivalent to that cast by a soft night light shall be operational in areas that are occupied by children who are napping or sleeping.
- (10) Staff/child ratios shall be maintained at naptime.

#### C. ADDITIONAL REQUIREMENTS FOR INFANTS AND TODDLERS

- (1) The center will provide a crib for each infant and, when appropriate, for a toddler.
- (2) Cribs will meet federal standards and be kept in good repair. The center will not use plastic bags or lightweight plastic sheeting to cover a mattress and will not use pillows in cribs. Stacking cribs is prohibited.
- (3) No child will be allowed to sleep in a playpen, car seat, stroller or swings.
- (4) Children under the age of 12 months shall be placed on their backs when sleeping unless otherwise authorized in writing by a physician.
- (5) Toys that are mouthed by infants and toddlers will be cleaned after mouthing by one child before other children do the same.
- (6) A center will not admit any child under the age of six weeks except with the written approval of a licensed physician.
- (7) A center will care for children under age two years in rooms separate from those used by older children. Children age six weeks to 12 months may be in the same room with children age 13 to 24 months, when they are physically separated from the older children. A center may group toddlers ages 18 to 24 months with children ages 24 through 35 months.
- (8) Throughout the day, an educator will give each infant and toddler physical contact and attention. A caregiver will hold, talk to, sing to and take inside and outside walks with the child. A caregiver will respond immediately to all cries of infants and to the cries of all children within two minutes.
- (9) An educator will use routine activities such as nap time, feeding, diapering and toileting as opportunities for language development and other learning.

(10) Infants shall not be allowed to be confined to one area for prolonged periods of time unless the infant is content and responsive.

(11) Each infant shall be allowed to form and observe his/her own pattern of feeding, sleeping and waking periods.

(12) A center will arrange the sleeping and play areas so that children in the play area do not disturb sleeping children.

(13) Infants shall either be held or fed sitting up for bottle-feeding. Infants unable to sit shall always be held for bottle-feeding. Infants and toddlers shall not be placed in a laying position while drinking bottles or sippy cups. The carrying of bottles and sippy cups by young children throughout the day or night shall not be permitted.

(14) Foods served will meet the nutritional needs of the infant or toddler. Foods will be developmentally appropriate for each infant served.

(15) A center shall provide an evacuation crib.

#### D. DIAPERING AND TOILETING:

(1) An educator will plan toilet training with a parent so the toilet routine is consistent. A center will not attempt to toilet train a child who is not developmentally ready.

(2) A center will change wet and soiled diapers and clothing promptly. Staff members will wear non-porous, single-use gloves when changing a diaper and wash their hands after changing a diaper. Food service gloves are not permissible for diaper changing.

(3) A center will have a change of clothes on hand, including dry, clean clothing and diapers sufficient to meet the needs of each child. A center will label diapers and diapering supplies for each child and store them properly. Diaper bags will be inaccessible to children. Soiled diapers will be stored in a secure container with a tight-fitting lid to assure proper hygiene and control of odors.

(4) An educator will change a child's diaper on a clean, safe, waterproof surface and discard any disposable cover and disinfect the surface after each diaper change.

#### E. ADDITIONAL REQUIREMENTS FOR CHILDREN WITH SPECIAL NEEDS:

(1) Child care facilities are responsible for staff awareness of community resources for families of children with disabilities, including children under the age of five years as well as those of school age. If center staff believe that a child may have a delay or disability, possible resources for referral and assistance are provided to parents when appropriate. No referral for special needs services to an outside agency will be made without a parent's consent. Family Education Right and Privacy Act (FERPA) will be respected at all times.

(2) Child care facilities are responsible for staff awareness of the Americans with Disabilities Act (ADA) as it relates to enrolling and caring for children with disabilities.

#### F. ADDITIONAL REQUIREMENTS FOR NIGHT CARE:

(1) A center that provides night care will have 50 square feet of activity area per child for night care.

(2) Staff will be awake and immediately available to children who need attention during the night.

(3) The beds and cots provided for children shall be completely furnished with mattress, waterproof mattress protectors, sheets under and over the child, blanket, pillow and pillowcase.

(4) Linens shall be changed immediately in case of soiling.

(5) The same menu shall not be used for lunch and supper.

#### G. PHYSICAL ENVIRONMENT:

(1) Environment shall be organized into age appropriate functional identifiable learning areas. If any of the selected learning areas are not represented at a given time, the areas shall be rotated to provide children with the opportunity to gain skills supported by a variety of learning experiences. The areas may include:

(a) dramatic play;

(b) creative art;

(c) books;

(d) blocks and accessories;

(e) manipulatives;

(f) music;

(g) science;

(h) math/number; and

(i) sensory.

(2) Each center is clearly defined, using shelves and furniture.

(3) Adults can visually supervise all centers at all times.

(4) The capacity of each room will be posted in an area of the room that is readily visible to parents, staff members and visitors.

(5) Learning areas have adequate space and noisy and quiet areas are arranged so that children's activities can be sustained without interruption.

(6) Materials are well cared for and organized by type. Where appropriate, materials are labeled with words or pictures. Adaptations to materials are made when needed to accommodate various abilities of all children. Unused materials are stored in inaccessible storage.

(7) Examples of children's individually expressed artwork are displayed in the environment at the children's eye level.

(8) Floor surface is suitable for activities that will occur in each learning area.

(9) File and storage space is available for educators' materials.

#### H. SOCIAL-EMOTIONAL RESPONSIVE ENVIRONMENT:

(1) Educators remain calm in stressful situations.

(2) Educators are actively engaged with children. Educators talk, actively listen and respond to children appropriately by responding to children's questions and acknowledging their comments, concerns, emotions and feelings. 8.16.2 NMAC 22

(3) Educators help children communicate their feelings by providing them with language to express themselves.

(4) Educators model appropriate social behaviors, interactions and empathy. Educators respond to children that are angry, hurt, or sad in a caring and sensitive manner. Educators make appropriate physical contact to comfort children who are distressed.

#### I. EQUIPMENT AND PROGRAM:

(1) Toys and equipment must be safe, durable, and easy to clean, non-toxic and sanitized daily.

(2) A center will not use accordion-style baby gates.

(3) A child care center will provide activities that encourage children to be actively involved in the learning process and to experience a variety of developmentally appropriate activities and materials.

(4) A center will provide sufficient equipment, materials, and furnishings for both indoor and outdoor activities so that at any one time each child can be individually involved.

(5) Each child at a center will have a designated space for storage of clothing and personal belongings.

(6) A center will store equipment and materials for children's use within easy reach of the children, including those with disabilities. A center will store the equipment and materials in an orderly manner so children can select and replace the materials by themselves or with minimal assistance.

(7) A center will provide children with toys and other materials that are safe and encourage the child's creativity, social interaction, and a balance of individual and group play.

(8) A center will post a daily activity schedule. A center will follow a consistent pattern for routine activities such as meals, snacks and rest.

(9) Media viewing will not be permitted for children under two years of age. Media viewing for children two years and older will be limited to six hours per month, but not to exceed one full length film in one day. Programs, movies, music and music programs shall be age appropriate and shall not contain adult content.

(10) Children and family members shall be acknowledged upon arrival and departure.

(11) Full-time children shall have a minimum of 60 minutes of physical activity daily, preferably outside. Part-time children shall have a minimum of 30 minutes of physical activity daily, preferably outside.

(12) Equipment and program requirements apply during all hours of operation of the licensed facility.

#### J. OUTDOOR PLAY AREAS:

(1) Outdoor play equipment used in child care centers shall be:

(a) intended for public (non-residential) use and installed and maintained according to the manufacturer's instructions; or

(b) if intended for residential use, shall be safe and securely anchored.

(2) A center will enclose the outdoor play area with a fence at least four feet high and with at least one latched gate available for an emergency exit.

(3) A center will place sufficient energy absorbing surfaces beneath climbing structures, swings, and slides (as determined by Subsection P of 8.16.2.8 NMAC). Critical Heights of Playground Equipment for Various Types and Depths of Resilient Surfaces Based on Information from the U.S. CONSUMER PRODUCT SAFETY COMMISSION (CPSC Publication No. 325), Handbook for Public Playground Safety.

When no requirement is provided for a specific height of equipment, we have used the requirement for the next higher height, so requirements are conservative, erring on the side of safety.

| Equipment Height                              | Wood Chips | Double Shredded Bark | Uniform Wood Chips | Fine Sand | Coarse Sand | Fine Gravel |
|---|------------|----------------------|--------------------|-----------|-------------|-------------|
| Uncompressed Depths of Materials In Fall Zone |            |                      |                    |           |             |             |
| Five feet or less                             | 6 inches   | 6 inches             | 6 inches           | 6 inches  | 6 inches    | 6 inches    |
| Six feet                                      | 6 inches   | 6 inches             | 6 inches           | 12 inches | 12 inches   | 6 inches    |
| Seven feet                                    | 6 inches   | 9 inches             | 9 inches           | 12 inches | 12 inches   | 9 inches    |
| Eight feet                                    | 9 inches   | 9 inches             | 12 inches          | 12 inches | 12 inches   | 12 inches   |
| Nine Feet                                     | 9 inches   | 9 inches             | 12 inches          | 12 inches | N/A         | 12 inches   |
| Ten Feet                                      | 9 inches   | 9 inches             | 12 inches          | N/A       | N/A         | 12 inches   |

For poured or installed foam or rubber surfaces, the materials must meet the ASTM F1292 requirements with written verification from the manufacturer.

- (4) Playground equipment shall be inspected and inspections documented weekly.
- (5) An outdoor play area for children under age two years will have an area protected from the general traffic where the children can crawl in safety.
- (6) The use of a trampoline is prohibited at any time during the hours of operation or by any children receiving care at the facility.
- (7) Children shall be protected from the sun during outdoor play, as instructed by the child’s parent or guardian.

**K. SWIMMING, WADING AND WATER:**

- (1) Each child will have written permission from a parent or guardian before the child enters the pool.
- (2) If a center has a portable wading pool:
  - (a) a center will drain and fill the wading pool with fresh water daily and disinfect pool before and after each use;
  - (b) a center will empty a wading pool when it is not in use and remove it from areas accessible to children;
  - (c) a center will not use a portable wading pool placed on concrete or asphalt.
- (3) If a center has a built in or above ground swimming pool, ditch, fishpond or other water hazard:
  - (a) the fixture will be constructed, maintained and used in accordance with applicable state and local regulations;
  - (b) the fixture will be constructed and protected so that, when not in use, it is inaccessible to children;
  - (c) when in use, children will be constantly supervised and the number of adults present will be proportional to the ages and abilities of the children and type of water hazard in use.

(4) The following ratios shall be observed

| Age of the youngest child | Ratio for swimming pools more than two feet deep | Number of educators, lifeguards or volunteers | Number of children |
|---------------------------|--|---|--------------------|
| 0-23 months               |  | 1   | 1                  |
| 2 years                   |  | 1   | 2                  |
| 3 years                   |  | 1   | 6                  |
| 4 years                   |  | 1   | 8                  |
| 5 years                   |  | 1   | 10                 |
| 6 years and older         |  | 1   | 12                 |

**L. FIELD TRIPS:**

- (1) A center will ensure the children’s safety on field trips and excursions. See Subparagraph (h) of Paragraph (1) of Subsection E of 8.16.2.22 NMAC for requirements for permission slips.
- (2) Children will not go to a private residence unless accompanied by two adults. [8.16.2.24 NMAC - Rp, 8.16.2.24 NMAC, 11/30/12]

**8.16.2.25 FOOD SERVICE REQUIREMENTS FOR CENTERS:**

A. MEAL PATTERN REQUIREMENTS: All foods prepared by the center will conform to the guidelines from United States department of agriculture’s (USDA’s) child and adult care food program (CACFP) for foods, meal patterns and serving sizes.

**B. MEALS AND SNACKS:**

- (1) A center will provide a child a meal or snack at least every three hours except when the child is sleeping at night.

(2) A center will serve, if necessary, a child a therapeutic or special diet with written prescription/diet orders from a physician or a recognized medical authority. Diet orders must be complete and descriptive, and not subject to interpretation by the center staff.

(3) A center shall make water freely available to children.

(4) A center that provides daily meals and snacks shall plan these to meet the minimum standards in the CACFP and to be consistent with the USDA's current dietary guidelines for Americans, to include the following. 8.16.2 NMAC 24

Parents of children who have special dietary needs may provide written permission to the child care program to exempt their child from the following requirements if necessary due to such special dietary needs.

(a) Only 100-percent fruit or vegetable juice shall be served. The use of fruit drinks containing less than 100-percent juice or artificially flavored drinks for meals or snacks is prohibited. 100-percent fruit or vegetable juice may be diluted with water.

(b) Only whole, pasteurized fluid milk shall be served to children between 12 and 24 months of age; reduced fat, low fat, or skim milk may be served to children who are two years and older.

(c) A wide variety of fruits and vegetables shall be served, with a preference for fresh or frozen fruits and vegetables over canned.

(5) A center shall vary snacks each day and shall include a selection of two different food group components from the four food group components.

#### C. MENUS:

(1) Menus shall include a variety of foods. The same menu will not be served twice in one week.

(2) Posted menus shall be followed. Substitutions shall be of equivalent nutritional value and shall be recorded on the posted menu.

(3) Dated weekly menus shall be posted at least one week in advance, in a conspicuous place, for review by parents, educators and children.

D. KITCHENS: Centers shall comply with current New Mexico environment department requirements regarding food service.

(1) A center will not allow children in the kitchen except under careful supervision.

(2) A food preparer will thoroughly wash all raw fruits and vegetables before cooking or serving.

(3) A center will serve food promptly and refrigerate immediately after use.

(4) A center will protect food and drink by properly storing items in an airtight container or by tightly wrapping them. A center will label and date all leftover food.

(5) If food is brought from the child's home, a center will label it with the child's name and refrigerate if necessary. A center will label and refrigerate bottles of infant formula or breast milk.

(6) A center's refrigerators and separate freezers will have working internal thermometers and keep food requiring refrigeration, including formula, at 41 degrees (fahrenheit) or below, and frozen food at 0 degrees (fahrenheit) or below.

(7) A center will protect all food from insects, rodents and other vermin.

(8) A center will discard any leftover milk or formula, rinse bottles after use and sanitize bottles before reuse.

(9) A center will sanitize eating utensils, dishes and cups before re-use by washing them in a dishwasher or by completing the following steps: 1) wash with soapy water; 2) rinse with clean warm water; and 3) sanitize.

Disposable plates and cups and plastic utensils of food-grade, medium weight may be used for single service, but styrofoam cups may not be used.

(10) A center will use cleaning materials for the kitchen and food preparation areas only in the kitchen and will store the materials separately from food.

(11) A center shall thoroughly sanitize food preparation surfaces before and after each use.

#### E. MEAL TIMES:

(1) A center will equip dining areas with tables, chairs, eating utensils and dishes appropriate to the age of the children served and sanitize the areas before and after use.

(2) Staff/child ratios must be maintained at meal times.

(3) Adults must sit with the children at meal and snack times to assist children with eating, drinking, and self-feeding and to encourage family-style dining and socialization.

(4) Time allowed for meals shall enable the children to eat at reasonable rate.

(5) A center will provide sanitary cups or glasses or a drinking fountain for drinking water. Infants and toddlers shall be offered water from a cup. Toddlers shall be encouraged to hold and drink from a cup, use a spoon, and to use their fingers for self-feeding. A center will not allow children to share drinking or eating utensils.

[8.16.2.25 NMAC - Rp, 8.16.2.25 NMAC, 11/30/12]

#### **8.16.2.26 HEALTH AND SAFETY REQUIREMENTS FOR CENTERS:**

##### **A. HYGIENE:**

(1) Children and staff members will wash their hands with soap and warm running water as needed. Water basins shall not be used as an alternative to running water. Staff and children will wash their hands whenever hands are contaminated with body fluids and always: 8.16.2 NMAC 25

(a) after using a toilet, assisting a child with toilet use, or changing a diaper;

(b) before and after caring for a sick child;

(c) before any food service activity, including setting the table;

(d) before and after eating;

(e) before and after feeding a child; and

(f) after handling pets or animals or items used by animals such as water and food bowls.

(2) A center will label with the child's name and store separately any item used for an individual child's personal hygiene.

(3) If a center promotes tooth brushing activities, the center will store toothbrushes so that they do not drip on other toothbrushes and so that they are separate from one another, with bristles exposed to the air to dry, labeled and not in contact with any other surface.

##### **B. FIRST AID REQUIREMENTS:**

(1) A center will have on duty at all times one staff member or educator currently certified in first aid and cardiopulmonary resuscitation (CPR).

(2) A center will keep a first-aid kit and a first-aid manual together in the center in a location inaccessible to children and easily accessible to adults. The first aid kit will contain, at a minimum, band aids, gauze pads, adhesive tape, scissors, soap, nonporous gloves, and a thermometer.

(3) A center will treat blood spills cautiously and promptly disinfect the area. Staff members will wear non-porous, single-use gloves when handling a blood spill, bloody diarrhea, bloody nose, or any other blood. A center will clean contaminated surfaces first with hot soapy water then with a disinfecting solution effective against HIV and hepatitis B.

##### **C. MEDICATION:**

(1) All staff and children's medications must be labeled. A center will keep all medications in a locked and identified container inaccessible to children and will refrigerate medications when necessary. If the refrigerator is inaccessible to children, medications do not need to be in a locked container in the refrigerator.

(2) Facilities will give medication only with written permission from a parent or guardian, to be administered according to written directions from the prescribing physician. In the case of non-prescription medication, written instructions must be provided by the parent or guardian. For the purpose of this requirement (Paragraph (2) of Subsection C of 8.16.2.26 NMAC) only, non-prescription medications include sunscreen, insect repellent and diaper creams or other over the counter medications. With written authorization from the child's parent or guardian, sunscreen and insect repellent may be shared. Diaper cream shall not be shared.

(3) A designated staff member will be responsible for giving medication to children. The designated staff member will ensure non-prescription and prescription medications have a label with the child's name and the date the medication was brought to the center. A center will keep non-prescription and prescription medication in the original container with written instructions, including the name of medication, the dosage, and the hours and dates the child should receive the medicine.

(4) The designated staff member will keep and sign a written record of the dosage, date and time a child is given medication with the signature of the staff who administered the medication. This information will be provided to the parent or guardian who will initial/date acknowledgment of information received on the day the medication is given.

(5) When the medication is no longer needed, it shall be returned to the parents or guardians or destroyed. The center shall not administer expired medication.

[8.16.2.26 NMAC - Rp, 8.16.2.26 NMAC, 11/30/12]

#### **8.16.2.27 ILLNESS REQUIREMENTS FOR CENTERS:**

A. Children or staff members absent due to any notifiable disease will not return to the center without a signed statement from a physician.

B. A center will separate and constantly observe a child who becomes sick at the center and promptly notify a parent or guardian of the child's illness.

C. A center will send a child home when:

(1) the child's oral temperature is 101 degrees (fahrenheit) or greater or armpit temperature is 100.4 degrees (fahrenheit) or greater and the child shows signs of illness or behavior changes; or

(2) an educator observes signs of contagious disease or severe illness.

D. The center will have a cot or mat available for sick children and it will be disinfected thoroughly after each use.

[8.16.2.27 NMAC - Rp, 8.16.2.27 NMAC, 11/30/12] 8.16.2 NMAC 26

#### **8.16.2.28 TRANSPORTATION REQUIREMENTS FOR CENTERS:**

A. When a center provides transportation to children, it is responsible for the care of children from the time of pick up to delivery to a responsible adult. All vehicles used for transportation of children will have an operable fire extinguisher, first-aid kit, first-aid manual, water and blanket.

B. A center will license all vehicles used for transporting children and will meet all applicable state vehicle laws. A child shall be transported only if the child is properly secured in a child passenger restraint device or by a safety belt as follows. School buses that are not equipped with passenger restraint devices are exempt from this requirement.

(1) Children less than one year of age shall be properly secured in a rear-facing child passenger restraint device that meets federal standards, in the rear seat of a vehicle that is equipped with a rear seat. If the vehicle is not equipped with a rear seat, the child may ride in the front seat of the vehicle if the passenger-side air bag is deactivated or if the vehicle is not equipped with a deactivation switch for the passenger-side air bag.

(2) Children one year of age through four years of age, regardless of weight, or children who weigh forty pounds, regardless of age, shall be properly secured in a child passenger restraint device that meets federal standards.

(3) Children five years of age through six years of age, regardless of weight, or children who weigh less than 60 pounds, regardless of age, shall be properly secured in either a child booster seat or an appropriate child passenger restraint device that meets federal standards.

(4) Children seven years of age through 12 years of age shall be secured in a child passenger restraint device or by a seat belt.

C. Vehicles used for transporting children will be enclosed and properly maintained. Vehicles shall be cleaned and inspected inside and out.

D. Vehicles operated by the center to transport children shall be air-conditioned whenever the outside air temperature exceeds 82 degrees fahrenheit. If the outside air temperature falls below 50 degrees fahrenheit the center will ensure the vehicle is heated.

E. A center will load and unload children at the curbside of the vehicle or in a protected parking area or driveway. The center will ensure children do not cross a street unsupervised after leaving the vehicle.

F. No one will smoke in a vehicle used for transporting children.

G. A second adult will accompany the driver of the vehicle when a center transports five or more children under age five years.

H. Children may be transported only in vehicles that have current registration and insurance coverage. All drivers must have current driver's license and comply with motor vehicle and traffic laws. Persons who have been convicted in the last seven years of a misdemeanor or felony DWI/DUI cannot transport children under the auspices of a licensed facility/program.

I. At least one adult transporting children shall be currently certified in cardiopulmonary resuscitation (CPR).

[8.16.2.28 NMAC - Rp, 8.16.2.28 NMAC, 11/30/12]

#### **8.16.2.29 BUILDING, GROUNDS AND SAFETY REQUIREMENTS FOR CENTERS:**

A. HOUSEKEEPING:

(1) A center will keep the premises, including furniture, fixtures, floors, drinking fountains, toys and equipment clean, safe, and in good repair. The center and premises will be free of debris and potential hazards.

(2) Materials dangerous to children must be secured in a manner making them inaccessible to children and away from food storage or preparation areas.

(3) All garbage and refuse receptacles in kitchens and in outdoor areas will be durable, constructed of materials that will not absorb liquids and have tight fitting lids.

B. PEST CONTROL:

(1) All licensed child care centers must use a New Mexico licensed applicator whenever applying pesticides on the center's buildings or grounds.

(2) The licensed applicator may not apply pesticides when children are on the premises.

- (3) Parents, guardians, and staff must be notified at least two days prior to spraying or applying pesticides.
- (4) All food storage, preparation, and serving areas must be covered and protected from spraying or application of pesticides.

#### C. MECHANICAL SYSTEMS:

- (1) A center will maintain comfortable temperatures (68 degrees through 82 degrees fahrenheit) in all rooms used by children. A center may use portable fans if the fans are secured and inaccessible to children and do not present any tripping, safety or fire hazards. In the event air temperature in a center exceeds the 82 degrees fahrenheit in the summer months because of evaporative cooler temperature limitations, it will be verified that cooling equipment is functioning, is being maintained, and that supplemental aides have been employed, such as, but not limited to: ceiling fans, portable fans, or portable evaporative coolers.
- (2) A center must maintain all heating and cooling equipment so that it is in good working order.
- (3) A center will not use un-vented heaters, open flame heaters or portable heaters. A center will install barriers or take other steps to ensure heating units are inaccessible to children. Heating units include hot water pipes, hot water baseboard heaters hotter than 110 degrees fahrenheit, fireplaces, fireplace inserts and wood stoves.
- (4) A center will provide fresh air and control odors by either mechanical or natural ventilation. If a center uses a window for ventilation, it will have a screen. If a door is used for fresh air ventilation, it must have a screen door.
- (5) Water coming from a faucet will be below 110 degrees (fahrenheit). A center will install a tempering valve ahead of all domestic water-heater piping.

D. WATER AND WASTE: All food preparation areas, sinks, washrooms, laundries, bathrooms and any self-contained area for infants and toddlers in diapers will have hot and cold running water pressure.

#### E. LIGHTING, LIGHTING FIXTURES AND ELECTRICAL:

- (1) All areas will have sufficient glare-free lighting with shatterproof or shielded bulbs.
- (2) A center will have emergency lighting that turns on automatically when electrical service is disrupted.
- (3) Use of electrical cords and outlets:
  - (a) A center will use U/L approved equipment only and will properly maintain this equipment.
  - (b) All electrical outlets within reach of children will be safety outlets or will have protective covers.
  - (c) The use of multi-prong or gang plugs is prohibited. Surge protectors are not gang plugs under these regulations.

#### F. EXITS AND WINDOWS:

- (1) When an activity area does not have a door directly to the outside, at least one window in each activity area must be able to be opened for emergency egress with a minimum net clear opening of 5.7 square feet. The minimum net clear opening for height dimension must be 24 inches. The minimum net clear opening width dimension must be 20 inches, and the finished sill height must not be more than 44 inches above the floor.
- (2) There must be at least two exits remote from each other in each activity area of the center.
  - (a) All exits must be marked, including fire exits, by signs having letters at least six inches high whose principal strokes are at least three-fourths of an inch wide.
  - (b) When illuminated exit signs are installed they must be maintained in operable condition.
- (3) Exit ways must be kept free from obstructions at all times.
- (4) Activity areas for children must have windows or skylight area of at least one-twentieth of the floor area.

#### G. TOILET AND BATHING FACILITIES:

- (1) A center shall have one sink in any room for infants, toddlers, and combination thereof. Centers licensed after November 30, 2012 shall have one sink and one toilet in any room that has children ages 24 – 35 months, which shall be used exclusively by the children in this room. All sinks referred to in this paragraph shall have permanent plumbing, hot and cold running water, and shall not be used for food preparation.
- (2) All toilet rooms will have toilet paper, soap and disposable towels at a height accessible to children. A center will not use a common towel or wash cloth.
- (3) All closets and bathroom locks must have an outside release. A center will enclose all bathrooms. Bathrooms must be accessible and functional.
- (4) Toilets and lavatories must be provided in the following ratios. These ratios also apply to programs that share lavatories with unlicensed facilities.
  - (a) one toilet and one lavatory for one to 12 children;
  - (b) two toilets and two lavatories for 13 through 25 children;
  - (c) one toilet and one lavatory for each additional 15 children or fraction thereof;
  - (d) when a center's capacity exceeds 30 children a separate toilet room must be provided for staff.

#### H. SAFETY COMPLIANCE: 8.16.2 NMAC 28

- (1) A center will conduct at least one fire drill each month.
- (2) A center will:
  - (a) hold the drills at different times of the day;
  - (b) use the fire alarm or detector system; and
  - (c) emphasize an orderly rather than a speedy evacuation;
  - (d) a center will keep a record of the fire drills with the date, time, number of adults and children participating, and any problems encountered during the fire drill on file for at least 12 months;
  - (e) a center shall request an annual fire inspection from the fire authority having jurisdiction over the center; if the policy of the fire authority having jurisdiction does not provide for an annual inspection of the center, the center must document the date the request was made and to whom; a copy of the latest inspection must be posted in the center;
  - (f) a center will post an evacuation plan in each room used by children;
  - (g) a center will keep a telephone in an easily accessible place for calling for help in an emergency and will post emergency phone numbers for fire, police, ambulance and the poison control center next to the phone; a center will not use a pay phone to fulfill this requirement; if cordless phones are used, emergency numbers shall be posted on the phone itself; facilities shall post the center's telephone number and address in a conspicuous location next to the emergency phone numbers; a center shall have at least one corded phone or cell phone for use in the case of a power outage;
  - (h) a center must be equipped with an approved, manually operated alarm system or other continuously sounding alarm approved in writing by the fire authority having jurisdiction;
  - (i) a center must be equipped with smoke detectors approved in writing by the fire authority having jurisdiction as to number, type, and placement;
  - (j) a center must have a minimum of two 210ABC fire extinguishers, one located in the kitchen or food preparation area, and one centrally located in the center; and
  - (k) fire extinguishers, alarm systems, automatic detection equipment, and other fire fighting must be properly maintained and inspected on a least yearly basis; fire extinguishers must be tagged noting the date of inspection; see Paragraph (2) of Subsection E of 8.16.2.29 NMAC for emergency lighting requirements.

**I. SMOKING, FIREARMS, ALCOHOLIC BEVERAGES, ILLEGAL DRUGS AND CONTROLLED**

**SUBSTANCES:** A center will prohibit smoking in all areas, including vehicles, and will not allow any alcoholic beverages, firearms, or non-prescription controlled substances (drugs) on the premises or in vehicles. Possessing or knowingly permitting illegal drugs or non-prescription controlled substances to be possessed or sold on the premises at any time regardless of whether children are present is prohibited.

**J. PETS:**

- (1) A center will inform parents or guardians in writing before pets are allowed in the center.
- (2) A center will not allow pets in the kitchen, food serving, food storage areas, bathrooms, or infant room.
- (3) A center will inoculate any pets as prescribed by a veterinarian and keep a record of proof of inoculation prior to the pet's presence in the center.
- (4) A center will not allow on the premises pets or other animals that are undomesticated, dangerous, contagious or vicious in nature.
- (5) Areas of confinement, such as cages and pens, and outdoor areas are cleaned of excrement daily. Animals shall be properly housed, fed and maintained in a safe, clean sanitary and humane condition at all times.
- (6) A staff member must be physically present during the handling of all pets or other animals.

[8.16.2.29 NMAC - Rp, 8.16.2.29 NMAC, 11/30/12] **8.16.2.30 FAMILY CHILD CARE HOME AND GROUP CHILD CARE HOME REGULATIONS: APPLICABILITY:** A private dwelling required to be licensed under regulations in 8.16.2.31 NMAC through 8.16.2.38 NMAC which meets one of the following criteria.

A. Family child care home - A private dwelling required to be licensed pursuant to these regulations which provides care, services, and supervision to at least five but no more than six children for a period of less than 24 hours of any day. The licensee will reside in the home and be the primary educator. A family day care home intending to provide care for more than two but not to exceed four children under the age of two must be specifically licensed for this purpose.

B. Group child care home - A private dwelling or other building on the premises required to be licensed pursuant to these regulations which provides care, services, and supervision for at least seven but not more than 12 children for a period of less than 24 hours of any day. The licensee will reside in the home and be the primary educator. A group

day care home intending to provide care for more than two but not to exceed four children under the age of two must be specifically licensed for this purpose.

[8.16.2.30 NMAC - Rp, 8.16.2.30 NMAC, 11/30/12]

### **8.16.2.31 LICENSURE REQUIREMENTS FOR HOMES:**

#### **A. LICENSING REQUIREMENTS:**

(1) **APPLICATION FORM:** An applicant will complete an application form provided by the licensing authority and include payment for the non-refundable application fee. Applications will be rejected unless all supporting documents are received within six months of the date indicated on the application. A 45 day extension will be granted if the licensee provides documentation to the licensing authority that documents were submitted to the appropriate agencies in a timely manner but, through no fault of their own, they have not received responses from these agencies.

(2) A home will submit a new application to the licensing authority before changing anything required to be stated on the license such as dates, capacity, operator, or address.

(3) **BACKGROUND CHECK:** In addition to the basic requirements at 8.16.2.19 NMAC of the general provisions an applicant will apply for a national criminal records check. The licensing authority will provide a copy of the most current version of the department's Background Check and Employment History Verification provisions (8.8.3 NMAC), regulations, fingerprint cards and instructions, and forms for recording an employment history. The licensee will be responsible for obtaining background checks on all staff, volunteers, and prospective staff and volunteers, and all adults residing in the home as per the requirements outlined in the department's most current version of the Background Check and Employment History Verification provisions. All requirements of the current Background Check and Employment History Verification provisions pursuant to 8.8.3 NMAC must be met prior to the issuance of an initial license.

(4) **ZONING AND OTHER APPROVALS:** An applicant will have:

(a) current written zoning approval from the appropriate city, county or state authority;

(b) current written approval of the state fire marshal office or other appropriate city, county or state fire-prevention authority if applicable;

(c) current written approval from the New Mexico environment department or other environmental health authority for: 1. Private water supply, if applicable; 2. Private waste or sewage disposal, if applicable; and 3. A swimming pool, if applicable.

(5) **SCHEDULE:** All applications for a new license will include a description of the home's proposed activities and schedule.

(6) **INITIAL SURVEY:** The licensing authority will schedule a survey for a home when it receives a complete application with all supporting documents.

#### **B. CAPACITY OF A HOME:**

(1) The number of children in a home, either in total or by age, will not exceed the capacity stated on the license.

(2) The licensing authority will count all children in the care of the licensed home, including the educator's own children under the age of six, in the capacity of a home, even if the children are on a field trip or other outing outside the home. The licensed capacity must not be exceeded by the presence of school age children.

(3) A home may be licensed for up to 12 children.

(4) A home licensed as a family day care home under these regulations providing care for a maximum capacity of six children may care for up to four children under the age of two providing a second educator is present in the home and the home is licensed to provide such care. A home licensed as a group day care home under these regulations providing care for a maximum of 12 children may care for up to four children under age two providing a second educator is present in the home and the home is licensed to provide such care.

(5) A home must have 35 square feet of activity and sleeping space per child, excluding bathrooms, kitchens, halls and other built-in fixtures and offsets, with total capacity limited to no more than 12 children. A home must have at least one bathroom with a toilet and sink. For a home licensed for no more than six children, one activity room will be measured. For a home licensed for 12 children, no more than two rooms will be measured.

(6) The home will have an outdoor play area, which must be fenced in.

#### **C. INCIDENT REPORTING REQUIREMENTS:**

(1) The licensee will report to the appropriate authorities the following incidents. After making a report to the appropriate authorities, the licensee shall notify the licensing authority of the incident giving rise to its report as soon as possible but no later than 24 hours after the incident occurred. A report should first be made by telephone and

followed with written notification. The licensee shall report any incident that has threatened or could threaten the health and safety of children and staff members, such as, but not limited to:

- (a) a lost or missing child;
- (b) the death of a child;
- (c) the abuse or neglect of a child;
- (d) any incident, including but not limited to accidents, illness, and injuries, that requires medical care beyond on-site first aid;
- (e) fire, flood, or other natural disaster that creates structural damages to a home or poses a health hazard;
- (f) any of the illnesses on the current list of notifiable diseases and communicable diseases published by the office of epidemiology of the New Mexico department of health;
- (g) any legal action against a home or staff members related to the care and custody of children;
- (h) the use of physical or mechanical restraints, unless due to documented emergencies or medically documented necessity; or
- (i) any known change in an educator's health condition or use of medication that impairs his or her ability to provide for the health, safety or welfare of children in care.

(2) A home will notify parents or guardians in writing of any incident, including notifiable illnesses, that has threatened the health or safety of children in the home. Incidents include, but are not limited to, those listed in Paragraph (1) of Subsection C of 8.16.2.31 NMAC.

(3) Incident reports involving suspected child abuse and neglect must be reported immediately to children's protective services and local law enforcement. The licensing authority follows written protocols/procedures for the prioritization, tracking, investigation and reporting of incidents, as outlined in the complaint investigation protocol and procedures.

[8.16.2.31 NMAC - Rp, 8.16.2.31 NMAC, 11/30/12]

#### **8.16.2.32 ADMINISTRATIVE REQUIREMENTS FOR HOMES:**

A. ADMINISTRATIVE RECORDS: A licensee will post the child care home license in an area readily visible to parents and visitors. The licensee will also keep on file:

- (1) all licenses, certificates, and most recent inspection reports of all state and local government agencies with jurisdiction over the home;
- (2) the current child care regulations;
- (3) the guidance policy;
- (4) the current list of notifiable diseases and communicable diseases published by the office of epidemiology of the New Mexico department of health; and
- (5) an up to date disaster preparedness plan, which shall include steps for evacuation, relocation and reunification with parents, and individual plans for children with special needs. The plan shall be approved annually by the licensing authority; the department will provide guidance on developing these plans.

B. MISSION, PHILOSOPHY AND CURRICULUM STATEMENT: All licensed facilities must have a:

- (1) mission statement;
- (2) philosophy statement; and
- (3) curriculum statement.

C. PARENT HANDBOOK: All facilities using these regulations must have a parent handbook which includes the following:

(1) GENERAL INFORMATION:

- (a) mission statement;
- (b) philosophy statement;
- (c) program information (location, license information, days and hours of operation, services offered);
- (d) name of licensee and how he/she may be reached;
- (e) meals, snacks and types of food served (or alternatively, guidelines for children bringing their own food);
- (f) daily schedule;
- (g) a statement supportive of family involvement that includes an open door policy to the family or group child care home;
- (h) appropriate dress for children, including request for extra change of clothes;
- (i) celebrating holidays, birthdays and parties;
- (j) disclosure to parents that the licensee does not have liability or accident insurance coverage.

(2) POLICIES AND PROCEDURES:

- (a) enrollment procedures;
- (b) disenrollment procedures;
- (c) fee payment procedures, including penalties for tardiness;
- (d) notification of absence;
- (e) fee credits, if any (e.g. for vacations, absences, etc.);
- (f) field trip policies;
- (g) health policies (program's policies on admitting sick children, when children can return after an illness, administering medication, and information on common illnesses);
- (h) emergency procedures, safety policies, and disaster preparedness plan;
- (i) snow days and school closure;
- (j) confidentiality policy;
- (k) child abuse/neglect reporting procedure; and
- (l) guidance policy.

D. CHILDREN'S RECORDS: A home will maintain a complete record for each child, including drop-ins, completed before the child is admitted and kept at the home for 12 months after the child's last day of attendance. Records will contain at least:

(1) PERSONAL INFORMATION:

- (a) name of the child, date of birth, gender, home address, mailing address and telephone number;
- (b) names of the parents or guardians, the parents or guardians current places of employment, addresses, pager, cellular and work telephone numbers;
- (c) a list of people authorized to pick up the child and an authorization form signed by parent or guardian; identification of person authorized by the parent or guardian to pick up the child shall be verified at pick up;
- (d) date the child first attended the home and the date of the child's last day at the home;
- (e) a copy of the child's up-to-date immunization record or a public health division-approved exemption from the requirement;
- (f) a record of any accidents, injuries or illnesses that require first aid or medical attention and any observations of recent bruises, bites or potential signs of abuse or neglect, both of which must be reported to a parent or guardian;
- (g) written authorization from the child's parent or guardian to remove a child from the premises to participate in off-site activities; authorization must contain fieldtrip destination, date and time of fieldtrip and expected return time from fieldtrip;
- (h) written authorization from the child's parent or guardian for the educator to apply sunscreen, insect repellent and, if applicable, diaper cream to the child;
- (i) a record of the time the child arrived and left the home and dates of attendance initialed by a parent, guardian, or person authorized to pick up the child;
- (j) an enrollment agreement must be signed by a parent or guardian with an outline of the services and the costs being provided by the home; and
- (k) a signed acknowledgement that the parent or guardian has read and understands the parent handbook.

(2) EMERGENCY INFORMATION:

- (a) information on any allergies or medical conditions suffered by the child;
- (b) the name and telephone number of two people to contact in the local area in an emergency when a parent or guardian cannot be reached; emergency contact numbers must be kept up to date at all times.
- (c) the name and telephone number of a physician or emergency medical center authorized by a parent or guardian to contact in case of illness or emergency;
- (d) a document giving a home permission to transport the child in a medical emergency and an authorization for medical treatment signed by a parent or guardian; and
- (e) if applicable, legal documentation regarding the child, including but not limited to: restraining orders, guardianship, powers of attorney, court orders, and custody by children's protective services.

E. PERSONNEL RECORDS: A home will keep the following records on file and make them available to the licensing authority.

- (1) Documentation of a background check and employment history verification for all educators and all adults living in the home.
- (2) An annual signed statement that the staff member would or would not be disqualified as a direct provider of care under the most current version of the Background Checks and Employment History Verification provisions pursuant to 8.8.3 NMAC.

- (3) A record of the time the second educators arrived at and left work, to include breaks and lunch.
- (4) A written plan for ongoing professional development for each educator that is based on the seven areas of competency, consistent with the career lattice, and based on the individual's goals. Family child care homes who do not have employees are exempted from this requirement.

F. PERSONNEL HANDBOOK: The educator will give each non-resident employee a personnel handbook that covers all matters relating to employment and includes the following critical contents:

- (1) job description of second educator;
- (2) benefits, if provided, including vacation days, sick leave, professional development days, health insurance, break times, etc.;
- (3) code of conduct;
- (4) training requirements, professional development opportunities;
- (5) procedures and criteria for performance evaluations;
- (6) policies on absence from work;
- (7) procedures for resignation or termination;
- (8) copy of licensing regulations;
- (9) policy on parent involvement;
- (10) health policies related to both children and staff;
- (11) policy on sexual harassment;
- (12) child guidance policy;
- (13) confidentiality statement; and
- (14) plan for retention of qualified staff.

[8.16.2.32 NMAC - Rp, 8.16.2.32 NMAC, 11/30/12]

#### **8.16.2.33 PERSONNEL AND STAFFING REQUIREMENTS FOR HOMES:**

##### **A. PERSONNEL AND STAFFING REQUIREMENTS:**

- (1) A licensee will not allow any employee or any other adult living in the home involved in an incident which would disqualify that employee or other adult under the department's most current version of the Background Check and Employment History Verification provisions pursuant to 8.8.3 NMAC to continue to work directly or unsupervised with children or to reside in the home.
- (2) All educators will demonstrate the ability to perform essential job functions that reasonably ensure the health, safety and welfare of children in care.
- (3) Educators who work directly with children and who are counted in the staff/child ratios must be 18 years of age or older.
- (4) The licensee shall be in the licensed child care home during at least 75 percent of the home's core hours of operation.
- (5) Substitutes, volunteers and part time second educators counted in the staff/child ratios shall meet the same requirements as regular staff members, except for training requirements. Substitutes and part time second educators routinely employed in the home but working 20 hours or less a week shall complete half the required training hours. Such employees working more than 20 hours per week shall complete all required training hours. The primary educator in a licensed home shall complete all required training hours, regardless of the number of hours worked.
- (6) A home licensed to provide care for six or fewer children will have at least one educator in the home at all times. A home licensed to provide care for more than two children under the age of two will have at least two educators in the home at all times.
- (7) A home licensed for seven to 12 children will have at least two educators at the home when more than six children are present or when more than two children under the age of two are present.
- (8) Children will never be left unattended. An educator will be with the children at all times whether activities are inside or outside of the home. Educators will be onsite, available and responsive to children during all hours of operation.

##### **B. STAFF QUALIFICATIONS AND TRAINING:**

- (1) A home will keep a training log on file including the date of the training, name of educator, hours earned, subject/competency area, source of training, and training certificates.
- (2) Educators working for a home will receive at least 12 documented hours of training during each year, including six hours in child growth and development and three hours in health, safety, nutrition, and infection control. The three remaining training hours must be within the seven competency areas. The competency areas are: 1) child growth, development and learning; 2) health, safety, nutrition and infection control; 3) family and community

collaboration; 4) developmentally appropriate content; 5) learning environment and curriculum implementation; 6) assessment of children and programs; and 7) professionalism. An educator cannot count more than three hours in first aid or CPR training toward the total hours required. Online first aid and CPR training will not be approved. For this purpose, a year begins and ends at the anniversary date of employment. Training must be provided by individuals who are registered on the New Mexico trainer registry. On-line training courses shall count for no more than eight hours each year. If the 45-hour entry level course or its equivalent is taken online, it is exempt from the online training limitation.

Identical trainings shall not be repeated for the purpose of obtaining credit.

(3) Infant and toddler educators must have at least two hours of training in infant and toddler care within six months of starting work. The two hours will count toward the 12-hour requirement in paragraph (2).

(4) The primary educator will complete the 45-hour entry level course or approved 3-credit early care and education course or an equivalent approved by the department prior to or within six months of employment.

(5) A home must have at least one person on duty at all times who is certified in first aid and cardio-pulmonary resuscitation (CPR).

[8.16.2.33 NMAC - Rp, 8.16.2.33 NMAC, 11/30/12]

### **8.16.2.34 SERVICES AND CARE OF CHILDREN IN HOMES:**

#### **A. GUIDANCE:**

(1) A home will have written policies and procedures clearly outlining guidance practices. Care-givers will give this information to all parents and staff who will sign a form to acknowledge that they have read and understand these policies and procedures.

(2) Guidance will be consistent and age appropriate.

(3) Guidance shall be positive and include redirection and clear limits that encourage the child's ability to become self-disciplined. The use of physical or mechanical restraints is prohibited unless due to documented emergencies or medically documented necessity.

(4) A home will not use the following disciplinary practices:

(a) physical punishment of any type, including shaking, biting, hitting, pinching or putting anything on or in a child's mouth;

(b) withdrawal of food, rest, bathroom access, or outdoor activities;

(c) abusive or profane language, including yelling;

(d) any form of public or private humiliation, including threats of physical punishment; or

(e) unsupervised separation.

#### **B. NAPS OR REST PERIOD:**

(1) A home will provide physical care appropriate to each child's developmental needs that will include a supervised rest period.

(2) A home shall allow children who do not sleep to get up and participate in quiet activities that do not disturb the other children.

(3) Each child will have an individual bed, cot, or mat.

(4) Cribs, cots or mats shall be spaced at least 30 inches apart to permit easy access by adults to each child. If the room used for sleeping cannot accommodate 30 inches of spacing between children, educators shall space children as far as possible from one another. There must be enough room to permit easy access to all children without moving cribs, cots or mats. Cots or mats will have a nonabsorbent, cleanable surface. Mats will be at least three-fourths of an inch thick. Mats and cots shall be cleaned and linens must be laundered before being used by another child.

(5) Educators shall ensure that nothing covers the face or head of a child aged 12 months or younger when the child is laid down to sleep and while the child is sleeping. Educators shall not place anything over the head or face of a child over 12 months of age when the child is laid down to sleep and while the child is sleeping.

(6) Children with disabilities or medical conditions that require unusual sleeping arrangements will have written authorization from a parent or physician justifying the sleeping arrangement. 8.16.2 NMAC 34

(7) Illumination equivalent to that cast by a soft night light shall be operational in areas that are occupied by children who are napping or sleeping.

(8) Children shall be directly supervised during naptime.

(9) All children shall sleep in the licensed area of the home. No children shall be allowed to sleep behind closed doors.

#### **C. ADDITIONAL REQUIREMENTS FOR INFANTS AND TODDLERS:**

- (1) The home will provide a crib for each infant and, when appropriate, for a toddler.
- (2) Cribs will meet federal standards and be kept in good repair. A home will not use plastic bags or lightweight plastic sheeting to cover a mattress and will not use pillows in cribs.
- (3) No child will be allowed to sleep in a playpen, car seat, stroller or swing.
- (4) Children under the age of 12 months shall be placed on their backs when sleeping unless otherwise authorized in writing by a physician.
- (5) A home will not admit any child under the age of six weeks except with the written approval of a licensed physician.
- (6) Throughout the day, an educator will give each infant and toddler physical contact and attention. An educator will hold, talk to, sing to and take inside and outside walks with the child. An educator will respond immediately to all cries of infants and to the cries of all children within two minutes.
- (7) An educator will use routine activities such as nap time, feeding, diapering and toileting as opportunities for language development and other learning.
- (8) Infants shall not be allowed to be confined to one area for prolonged periods of time unless the infant is content and responsive.
- (9) A home will arrange the sleeping and play areas so that children in the play area do not disturb sleeping children.
- (10) Infants shall either be held or be fed sitting up for bottle-feeding. Infants unable to sit shall always be held for bottle-feeding. Infants and toddlers shall not be placed in a laying position while drinking bottles or sippy cups. The carrying of bottles and sippy cups by young children throughout the day or night shall not be permitted.
- (11) Each infant shall be allowed to form and observe his or her own pattern of feeding, sleeping, and waking periods.
- (12) Food served shall meet the nutritional needs of the infant or toddler. Foods shall be developmentally appropriate for each infant served.

#### D. DIAPERING AND TOILETING:

- (1) An educator will plan toilet training with a parent so the toilet routine is consistent. A home will not attempt to toilet train a child who is not developmentally ready.
- (2) A home will change wet and soiled diapers and clothing promptly. Staff members will wear non-porous, single use gloves when changing a diaper and wash their hands after changing a diaper. Food service gloves are not permissible for diaper changing.
- (3) A home will have a supply of dry, clean clothing and diapers sufficient to meet the needs of the child. A home will label diapers and diapering supplies for each child and store them separately. Diaper bags will be inaccessible to children.
- (4) An educator will change a child's diaper on a clean, safe, waterproof surface and discard any disposable cover and disinfect the surface after each diaper change. Soiled diapers shall be stored in a secure container with a tight-fitting lid to assure proper hygiene and control of odors.

#### E. ADDITIONAL REQUIREMENTS FOR CHILDREN WITH SPECIAL NEEDS:

- (1) Child care facilities are responsible for staff awareness of community resources for families of children with disabilities, including children under the age of five years as well as those of school age. If family or group home educators believe that a child may have a delay or disability, possible resources for referral and assistance are provided to parents when appropriate. No referral for special needs services to an outside agency will be made without a parent's consent. Family Education Right and Privacy Act (FERPA) will be respected at all times.
- (2) Child care facilities are responsible for staff awareness of the Americans with Disabilities Act (ADA) as it relates to enrolling and caring for children with disabilities.

F. NIGHT CARE: In addition to all other requirements, a home providing night care will have an educator onsite, physically available and responsive to children who need attention during the night.

#### G. PHYSICAL ENVIRONMENT:

- (1) Environment shall be organized into functional identifiable learning areas. Family child care homes that have dedicated space shall have at least four of the following learning areas. Family child care homes that do not have dedicated space shall have at least three of the following learning areas:
  - (a) a place for messy play;
  - (b) a place for loud, active play;
  - (c) a place for playing quietly;
  - (d) a place to pretend; and
  - (e) a place to read.

- (2) Each learning area is clearly defined, using shelves and furniture.
  - (3) Adults can visually supervise all centers at all times.
  - (4) Learning areas have adequate space and noisy and quiet areas are arranged so that children's activities can be sustained without interruption.
  - (5) Materials are well cared for and organized by type. Where appropriate, materials are labeled with words or pictures. Adaptations to materials are made when needed to accommodate various abilities of all children. Unused materials are stored in inaccessible storage.
  - (6) Examples of children's individually expressed artwork are displayed in the environment at the children's eye level.
  - (7) Floor surface is suitable for activities that will occur in each learning area.
  - (8) File and storage space is available for educators' materials.
- H. SOCIAL-EMOTIONAL RESPONSIVE ENVIRONMENT:

- (1) Educators remain calm in stressful situations.
- (2) Educators are actively engaged with children. Educators talk, actively listen and respond to children appropriately by responding to children's questions and acknowledging their comments, concerns, emotions and feelings.
- (3) Educators help children communicate their feelings by providing them with language to express themselves.
- (4) Educators model appropriate social behaviors, interactions and empathy. Educators respond to children that are angry, hurt, or sad in a caring and sensitive manner. Educators make appropriate physical contact to comfort children who are distressed.

I. EQUIPMENT AND PROGRAM:

- (1) Toys and equipment must be safe, durable, and easy to clean, non-toxic and sanitized daily.
- (2) A home will not use accordion-style baby gates.
- (3) A home will provide sufficient equipment, materials, and furnishings for both indoor and outdoor activities so that at any one time each child can be individually involved.
- (4) A home will store equipment and materials for children's use within easy reach of the children, including those with disabilities. A home will store the equipment and materials in an orderly manner so children can select and replace the materials by themselves or with minimal assistance.
- (5) A home will provide children with toys and other materials that are safe, developmentally appropriate, and encourage the child's creativity, social interaction, and a balance of individual and group play.
- (6) A home will post a daily activity schedule. A home will follow a consistent pattern for routine activities such as meals, snacks and rest.
- (7) Media viewing will not be permitted for children less than two years of age. Media viewing for children two years and older will be limited to six hours per month, but not to exceed one full length film in one day. Programs, movies, music and music programs shall be age appropriate and shall not contain adult content.
- (8) Children and family members shall be acknowledged upon arrival and departure.
- (9) Full-time children shall have a minimum of 60 minutes of physical activity daily, preferably outside. Part time children shall have a minimum of 30 minutes of physical activity daily, preferably outside.
- (10) Equipment and program requirements apply during all hours of operation of the licensed facility.

J. OUTDOOR PLAY:

- (1) Outdoor play equipment used in child care homes shall be:
  - (a) intended for public (non-residential) use and installed and maintained according to the manufacturer's instructions; or
  - (b) if intended for residential use, shall be safe and securely anchored.
- (2) A home will enclose the outdoor play area with a fence at least four feet high and with at least one latched gate available for an emergency exit. 8.16.2 NMAC 36
- (3) A home will place sufficient energy absorbing surfaces beneath climbing structures, swings and slides (as determined by Subsection P of 8.16.2.8 NMAC).

Critical Heights of Playground Equipment for Various Types and Depths of Resilient Surfaces Based on Information from the U.S. CONSUMER PRODUCT SAFETY COMMISSION (CPSC Publication No. 325), Handbook for Public Playground Safety.

When no requirement is provided for a specific height of equipment, we have used the requirement for the next higher height, so requirements are conservative, erring on the side of safety.

|           |      |        |         |           |        |             |
|-----------|------|--------|---------|-----------|--------|-------------|
| Equipment | Wood | Double | Uniform | Fine Sand | Coarse | Fine Gravel |
|-----------|------|--------|---------|-----------|--------|-------------|

| Height  | Chips    | Shredded Bark | Wood Chips |           | Sand      |           |
|---|----------|---------------|------------|-----------|-----------|-----------|
| Uncompressed Depths of Materials In Fall Zone |          |               |            |           |           |           |
| Five feet or less                             | 6 inches | 6 inches      | 6 inches   | 6 inches  | 6 inches  | 6 inches  |
| Six feet                                      | 6 inches | 6 inches      | 6 inches   | 12 inches | 12 inches | 6 inches  |
| Seven feet                                    | 6 inches | 9 inches      | 9 inches   | 12 inches | 12 inches | 9 inches  |
| Eight feet                                    | 9 inches | 9 inches      | 12 inches  | 12 inches | 12 inches | 12 inches |
| Nine Feet                                     | 9 inches | 9 inches      | 12 inches  | 12 inches | N/A       | 12 inches |
| Ten Feet                                      | 9 inches | 9 inches      | 12 inches  | N/A       | N/A       | 12 inches |

For poured or installed foam or rubber surfaces, the materials must meet the ASTM F1292 requirements with written verification from the manufacturer

(4) The use of a trampoline is prohibited at any time during the hours of operation or by any children receiving care at the facility.

(5) Children shall be protected from the sun during outdoor play, as instructed by the child’s parent or guardian.

**K. SWIMMING, WADING AND WATER:**

(1) Each child will have written permission from a parent or guardian before the child enters a pool.

(2) If a home has a portable wading pool:

- (a) a home will drain and fill the wading pool with fresh water daily and disinfect the pool regularly;
- (b) a home will empty a wading pool when it is not in use and remove it from areas accessible to children;
- (c) a home will not use a portable wading pool placed on concrete or asphalt.

(3) If a home has a built in or above ground swimming pool, ditch, fishpond or other water hazard:

- (a) the fixture will be constructed, maintained and used in accordance with applicable state and local regulations;
- (b) the fixture will be constructed and protected so that, when not in use, it is inaccessible to children; and
- (c) when in use, children will be constantly supervised and the number of adults present will be increased to ensure adequate safety for the ages, abilities and type of water hazard in use.

(4) The following ratios shall be observed for swimming pools more than two feet deep:

Ratio for swimming pools more than two feet deep

| Age of the youngest child | Number of educators, lifeguards or volunteers | Number of children |
|---------------------------|---|--------------------|
| 0-23 months               | 1   | 1                  |
| 2 years                   | 1   | 2                  |
| 3 years                   | 1   | 6                  |
| 4 years                   | 1   | 8                  |
| 5 years                   | 1   | 10                 |
| 6 years and older         | 1   | 12                 |

**L. FIELD TRIPS:**

(1) A home will ensure the children’s safety on field trips and excursions. See Subparagraph (g) of Paragraph (1) of Subsection D of 8.16.2.32 NMAC for information on permission slips.

(2) Children will not go to a private residence other than the licensed home unless accompanied by two adults. [8.16.2.34 NMAC - Rp, 8.16.2.34 NMAC, 11/30/12]

**8.16.2.35 FOOD SERVICE REQUIREMENTS FOR HOMES:**

**A. MEAL PATTERN REQUIREMENTS:** All foods prepared by the home will conform to the guidelines from United States department of agriculture’s (USDA’s) child and adult care food program (CACFP) for foods, meal patterns and serving sizes.

**B. MEALS AND SNACKS:**

(1) A home will provide a child a meal or snack at least every three hours except when the child is sleeping at night.

(2) A home will serve if necessary a child a therapeutic or special diet with a written prescription/diet order from a physician or a registered or licensed dietician. Diet orders must be complete and descriptive, and not subject to interpretation by the educators.

(3) A home shall make water freely available to children.

(4) A home that provides daily meals and snacks shall plan these to meet the minimum standards in the CACFP and to be consistent with the USDA’s current dietary guidelines for Americans, to include the following. Parents of

children who have special dietary needs may provide written permission to the child care program to exempt their child from the following requirements if necessary due to such special dietary needs.

(a) Only 100-percent fruit or vegetable juice shall be served. The use of fruit drinks containing less than 100-percent juice or artificially flavored drinks for meals or snacks is prohibited. 100-percent fruit or vegetable juice may be diluted with water.

(b) Only whole, pasteurized fluid milk shall be served to children between 12 and 24 months of age; reduced fat, low fat, or skim milk may be served to children who are two years and older.

(c) A wide variety of fruits and vegetables shall be served, with a preference for fresh or frozen fruits and vegetables over canned.

(5) A home will vary snacks each day and will include a selection of two different food group components from the four food group components.

#### C. MENUS:

(1) Weekly menus must be dated and posted in an area easily visible to parents.

(2) Menus shall be posted at least one week in advance, in a conspicuous place, for review by parents, educators and children.

#### D. KITCHENS:

(1) A home will not allow children in the kitchen except under careful supervision.

(2) A food preparer will thoroughly wash all raw fruits and vegetables before cooking or serving.

(3) A home will serve food promptly and refrigerate immediately after use. Foods served will meet the nutritional needs of the infant or toddler. Foods will have the proper texture and consistency for each infant served.

(4) A home will protect food and drink by properly storing items in an airtight container or by tightly wrapping them. A home will label and date all leftover food.

(5) If food is brought from the child's home, a home will label it with the child's name and refrigerate if necessary. A home will label and refrigerate bottles of infant formula or breast milk. Labeling is not necessary if only one child is using bottles.

(6) A home will keep food requiring refrigeration, including formula, at 41 degrees (fahrenheit) or below, and frozen food at 0 degrees (fahrenheit) or below.

(7) Refrigerators and separate freezers will have working internal thermometers.

(8) A home will protect all food from insects, rodents and other vermin.

(9) A home will discard any leftover milk or formula, rinse bottles after use and sanitize bottles before reuse.

(10) A home will sanitize eating utensils, dishes and cups before re-use by washing them in a dishwasher or by completing the following steps: 1) wash with soapy water; 2) rinse with clean warm water; and 3) sanitize.

(11) A home will use cleaning materials for the kitchen and food preparation areas only in the kitchen and will store the materials separately from food.

(12) A home shall thoroughly sanitize food preparation surfaces before and after each use.

#### E. MEAL TIMES:

(1) A home will equip dining areas with tables, chairs, eating utensils and dishes appropriate to the age of the children served. Areas will be sanitized before and after each use.

(2) A home will provide sanitary cups or glasses or a drinking fountain for drinking water. Infants and toddlers shall be offered water from a cup. Toddlers shall be encouraged to hold and drink from a cup, use a spoon, and to use their fingers for self-feeding. A home will not allow children to share drinking or eating utensils.

(3) Time allowed for meals shall enable children to eat at a reasonable rate.

[8.16.2.35 NMAC - Rp, 8.16.2.35 NMAC, 11/30/12]

### **8.16.2.36 HEALTH AND SAFETY REQUIREMENTS FOR HOMES:**

#### A. HYGIENE:

(1) Children and staff members will wash their hands with soap and warm running water as needed. Water basins shall not be used as an alternative to running water. Staff and children will wash their hands whenever hands are contaminated with body fluids and always:

(a) after using a toilet, assisting a child with toilet use, or changing a diaper;

(b) before and after caring for a sick child;

(c) before any food service activity, including setting the table;

(d) before and after eating or feeding a child; and

(e) after handling pets or animals or items used by animals such as water and food bowls.

(2) A home will label with the child's name and store separately any item used for an individual child's personal hygiene.

**B. FIRST AID REQUIREMENTS:**

(1) A home will keep a first-aid kit and a first-aid manual together in the home in a location inaccessible to children and easily accessible to adults. The first aid kit will contain, at a minimum: band aids, gauze pads, adhesive tape, scissors, soap, non-porous gloves, and a thermometer.

(2) A home will treat blood spills cautiously and promptly disinfect the area. Staff members will wear non-porous, single-use gloves when handling a blood spill, bloody diarrhea, bloody nose, or any other blood. A home will clean contaminated surfaces first with hot soapy water then with a disinfecting solution, which is effective against HIV and hepatitis B.

**C. MEDICATION:**

(1) A home will keep all medications in a locked and identified container inaccessible to children and will refrigerate medications when necessary. If the refrigerator is inaccessible to children, medications do not need to be in a locked container in the refrigerator.

(2) Homes will give medication only with written permission from parents or guardian, to be administered according to written directions from the prescribing physician. In the case of non-prescription medication, written instructions must be provided by the parent or guardian. For the purpose of this requirement (Paragraph (2) of Subsection C of 8.16.2.26) only, non-prescription medications include sunscreen, insect repellent and diaper creams or other over the counter medications. With written authorization from the child's parent or guardian, sunscreen and insect repellent may be shared. Diaper cream shall not be shared.

(3) The licensee will be responsible for giving medication to children. The designated staff member will ensure non-prescription and prescription medications have a label with the child's name and the date the medication was brought to the home. A home will keep non-prescription and prescription medication in the original container with written instructions, including the name of medication, the dosage, and the hours and dates the child should receive the medicine.

(4) The licensee will keep and sign a written record of the dosage, date and time a child is given medication. This information will be provided to the parent or guardian who will initial/date acknowledgment of information received on the day the medication is given.

(5) When the medication is no longer needed, it shall be returned to the parents or guardians or destroyed. The home shall not administer expired medication.

**D. ILLNESS AND NOTIFIABLE DISEASES:**

(1) Children or staff members absent due to any notifiable disease will not return to the home without a signed statement from a physician.

(2) A home will separate and constantly observe a child who becomes sick at the home and promptly notify a parent or guardian of the child's illness.

(3) A home will send a child home when:

(a) the child's oral temperature is 101 degrees (fahrenheit) or greater or armpit temperature is 100.4 degrees (fahrenheit) or greater and the child shows signs of illness or behavior changes; or

(b) the educator observes signs of contagious disease or severe illness.

[8.16.2.36 NMAC - Rp, 8.16.2.36 NMAC, 11/30/12]

**8.16.2.37 TRANSPORTATION REQUIREMENTS FOR HOMES:**

A. When a home provides transportation to children, it is responsible for the care of children from the time of pick up to delivery to a responsible adult. All vehicles used for transportation of children will have an operable fire extinguisher, first-aid kit, first-aid manual, water and blanket.

B. A home will license all vehicles used for transporting children and will meet all applicable state vehicle laws. A child shall be transported only if the child is properly secured in a child passenger restraint device or by a safety belt as follows.

(1) Children less than one year of age shall be properly secured in a rear-facing child passenger restraint device that meets federal standards, in the rear seat of a vehicle that is equipped with a rear seat. If the vehicle is not equipped with a rear seat, the child may ride in the front seat of the vehicle if the passenger-side air bag is deactivated or if the vehicle is not equipped with a deactivation switch for the passenger-side air bag.

(2) Children one year of age through four years of age, regardless of weight, or children who weigh forty pounds, regardless of age, shall be properly secured in a child passenger restraint device that meets federal standards.

(3) Children five years of age through six years of age, regardless of weight, or children who weigh less than 60 pounds, regardless of age, shall be properly secured in either a child booster seat or an appropriate child passenger restraint device that meets federal standards.

(4) Children seven years of age through 12 years of age shall be secured in a child passenger restraint device or by a seat belt.

C. Vehicles used for transporting children will be enclosed and properly maintained. Vehicles shall be cleaned and inspected inside and out.

D. A home will load and unload children at the curbside of the vehicle or in a protected parking area or driveway. The home will ensure children do not cross a street unsupervised after leaving the vehicle.

E. No one will smoke in a vehicle used for transporting children.

F. Children may be transported only in vehicles that have current registration and insurance coverage. All drivers must have current driver's license and comply with motor vehicle and traffic laws. Persons who have been convicted in the last seven years of a misdemeanor or felony DWI/DUI cannot transport children under the auspices of a licensed facility.

G. At least one adult transporting children shall be currently certified in cardiopulmonary resuscitation.

[8.16.2.37 NMAC - Rp, 8.16.2.37 NMAC, 11/30/12]

### **8.16.2.38 BUILDING, GROUND AND SAFETY REQUIREMENTS FOR HOMES:**

#### **A. HOUSEKEEPING:**

(1) An educator will keep the premises, including furniture, fixtures, toys and equipment clean, safe, and free of debris and potential hazards.

(2) Materials dangerous to children must be secured in a manner making them inaccessible to children and away from food storage or preparation areas.

(3) All garbage and refuse receptacles in kitchens and in outdoor areas will have a tight fitting lid, be durable and constructed of materials that will not absorb liquids.

#### **B. PEST CONTROL:**

(1) All licensed child care homes must use a New Mexico licensed pest applicator whenever applying pesticides on the home's buildings and grounds.

(2) The pest control company may not apply pesticides when children are on the premises.

(3) Parents, guardians, and staff must be notified at least two days prior to spraying or applying pesticides and insecticides.

(4) All food storage, preparation, and serving areas must be covered and protected from spraying or application of pesticides.

#### **C. MECHANICAL SYSTEMS:**

(1) A home will maintain comfortable temperatures (68 degrees through 82 degrees fahrenheit) in all rooms used by children. A home may use portable fans if the fans are secured and inaccessible to children and do not present any tripping, safety or fire hazards. In the event air temperature in a home exceeds the 82 degrees fahrenheit in the summer months because of evaporative cooler temperature limitations, it will be verified that cooling equipment is functioning, is being maintained, and that supplemental aides have been employed, such as, but not limited to: ceiling fans, portable fans, or portable evaporative coolers.

(2) A home will not use unvented heaters, open flame heaters or portable heaters. A home will install barriers or take other steps to ensure heating units, are inaccessible to children. Heating units include hot water pipes, hot water baseboard heaters hotter than 110 degrees (fahrenheit), fireplaces, fireplace inserts and wood stoves.

(3) A home must maintain all heating and cooling equipment so that it is in good working order.

(4) A home will provide fresh air and control odors by either mechanical or natural ventilation. If a home uses a window for ventilation, it will have a screen. If a door is used for fresh air ventilation, it must have a screen door.

(5) Water coming from a faucet will be below 110 degrees (fahrenheit). A home will install a tempering valve ahead of all domestic water-heater piping.

(6) All food preparation areas, sinks, washrooms, laundries and bathrooms will have hot and cold running water under pressure.

#### **D. LIGHTING, LIGHTING FIXTURES AND ELECTRICAL:**

(1) A home will use U/L approved equipment only and will properly maintain this equipment.

(2) All electrical outlets within reach of children will be safety outlets or will have protective covers.

(3) The use of multi-prong or gang plugs is not allowed. Surge protectors are not gang plugs under these regulations.

E. EXITS: When an activity area does not have a door directly to the outside, at least one window in each activity area must be useable for an emergency exit.

F. TOILET AND BATHING FACILITIES:

(1) All toilet rooms will have toilet paper, soap and disposable towels at a height accessible to children. A home will not use a common towel or wash cloth.

(2) All closets and bathroom locks must have an outside release. A home will enclose all bathrooms.

G. SAFETY COMPLIANCE:

(1) A home will have an operating smoke detector in each child-activity room and in each room in which a child sleeps.

(2) A home will have a 210ABC extinguisher mounted in the kitchen in a visible and easily accessible place. A professional will inspect each fire extinguisher once a year and fire extinguishers will have official tags noting the date of inspection.

(3) A home will conduct at least one fire drill each month, will hold the drills at different times of the day and will keep a record of the fire drills with the date, time, number of adults and children participating, and any problems.

(4) A home will keep a telephone in an easily accessible place for calling for help in an emergency and will post emergency phone numbers for fire, police, ambulance and the poison control center next to the phone.

H. SMOKING, FIREARMS, ALCOHOLIC BEVERAGES, ILLEGAL DRUGS AND CONTROLLED

SUBSTANCES: A home will prohibit smoking and the drinking of alcoholic beverages in all areas, including vehicles, when children are present. A home will unload all guns, such as pellet or BB guns, rifles and handguns, and keep them in a locked area inaccessible to children. Possessing or knowingly permitting illegal drugs or non-prescription controlled substances to be possessed or sold on the premises at any time regardless of whether children are present is prohibited.

I. PETS:

(1) A home will inform parents or guardians in writing before pets are in the home.

(2) A home will inoculate any pets as prescribed by a veterinarian and keep a record of proof of inoculation prior to the pet's presence in the home.

(3) A home will not allow on the premises pets or other animals that are undomesticated, dangerous, contagious or vicious in nature.

(4) Areas of confinement, such as cages and pens, and outdoor areas are cleaned of excrement daily. Animals shall be properly housed, fed and maintained in a safe, clean sanitary and humane condition at all times.

(5) An educator must be physically present during the handling of all pets or other animals.

[8.16.2.38 NMAC - Rp, 8.16.2.39 NMAC, 11/30/12]

**8.16.2.39 REGULATIONS FOR PROGRAMS OFFERING ONLY OUT OF SCHOOL TIME CARE:**

**APPLICABILITY:** A child care program required to be licensed under 8.16.2.40 NMAC through 8.16.2.47 NMAC 8.16.2 NMAC 41 of this regulation provides a variety of developmentally appropriate activities that are both educational and recreational at a specific site, usually a school, on a regular basis before or after school or when school is not in regular session to children age five to 18 years, and not exempted from regulation under any of the exceptions listed in 8.16.2.9 NMAC.

[8.16.2.39 NMAC - Rp 8.16.2.40 NMAC, 11/30/12]

**8.16.2.40 LICENSURE REQUIREMENTS FOR OUT OF SCHOOL TIME CARE:**

A. LICENSING REQUIREMENTS:

(1) APPLICATION FORM: An applicant will complete an application form provided by the licensing authority and include payment for the non-refundable application fee. Applications will be rejected unless all supporting documents are received within six months of the date indicated on the application. A 45 day extension will be granted if the licensee provides documentation to the licensing authority that documents were submitted to the appropriate agencies in a timely manner but, through no fault of their own, they have not received responses from these agencies.

(2) A program will submit a new application to the licensing authority before changing anything that is stated on the license such as dates, capacity, director, address, etc.

(3) BACKGROUND CHECK: The licensing authority will provide a copy of the most current version of the department's Background Check and Employment History Verification provisions (8.8.3 NMAC), regulations, fingerprint cards and instructions, and forms for recording an employment history. The licensee will be responsible for obtaining background checks on all staff, volunteers, and prospective staff and volunteers, and all adults residing in the home as per the requirements of the most current version of the department's Background Check and

Employment History Verification provisions. All requirements of the current Background Check and Employment History Verification provisions pursuant to 8.8.3 NMAC must be met prior to the issuance of an initial license.

(4) **ZONING, BUILDING AND OTHER APPROVALS:** An applicant will use the approvals provided to the schools and community centers as long as the approvals are current according to the applicable department's requirements. Acceptable documents will be provided to the licensing authority before licensure. Otherwise, an applicant will have:

- (a) current written zoning approval from the appropriate city, county or state authority;
- (b) current written building approval, such as a certificate of occupancy, from the appropriate city, county or state authority;
- (c) current written approval of the state fire marshal office or other appropriate city, county or state fire-prevention authority; and
- (d) current written approval from the New Mexico environment department or other environmental health authority for:
  - (i) a kitchen, if meals are prepared and served on site in the program;
  - (ii) private water supply, if applicable;
  - (iii) private waste or sewage disposal, if applicable; and,
  - (iv) a swimming pool, if applicable.

(5) **ACCESS REQUIREMENTS FOR INDIVIDUALS WITH DISABILITIES IN NEW FACILITIES:** Accessibility for individuals with disabilities is provided in all new facilities and will include the following.

- (a) Main entry into the facility is level or has a ramp to allow for wheelchair access.
- (b) Building layout allows for access to the main activity area.
- (c) Access to at least one bathroom is required to have a door clearance of 32 inches. The toilet unit also provides a 60-inch diameter turning radius.
- (d) If ramps are provided to the building, the slope of each ramp is at least a 12-inch horizontal run for each inch of vertical rise.
- (e) Ramps exceeding a six-inch rise are provided with handrails.
- (f) Requirements contained herein are minimum and additional disability requirements may apply depending on the size and complexity of the facility.

(6) **SCHEDULE:** All applications for a new license will include a description of the programs proposed activities and schedule.

(7) **INITIAL SURVEY:** The licensing authority will schedule a survey for a program when it receives a complete application with all supporting documents.

#### **B. CAPACITY OF A PROGRAM: 8.16.2 NMAC 42**

- (1) The number of children in a program, either in total or by age, will not exceed the capacity stated on the license.
- (2) The licensing authority will count all children in the care of the program even if the children are on a field trip or other outing outside the program site.
- (3) A program must meet the following space requirements:
  - (a) 35 square feet of indoor activity space measured wall to wall on the inside for each child in a program, excluding single-use areas, such as restrooms, kitchens, and storage areas, and excluding offsets and built-in fixtures.
  - (b) A program must have an outdoor activity space.
- (4) The capacity of each room will be posted in an area of the room that is readily visible to parents, staff members and visitors.

#### **C. INCIDENT REPORTING REQUIREMENTS:**

(1) The licensee will report to the appropriate authorities the following incidents. After making a report to the appropriate authorities, the licensee shall notify the licensing authority of the incident giving rise to its report as soon as possible but no later than 24 hours after the incident occurred. A report should first be made by telephone and followed with written notification. The licensee shall report any incident that has threatened or could threaten the health and safety of children and staff members, such as, but not limited to:

- (a) a lost or missing child;
- (b) the death of a child;
- (c) the abuse or neglect of a child;
- (d) any incident, including but not limited to accidents, illness, and injuries, that requires medical care beyond on-site first aid;
- (e) fire, flood, or other natural disaster that creates structural damages to a program or poses a health hazard;

- (f) any of the illnesses on the current list of notifiable diseases and communicable diseases published by the office of epidemiology of the New Mexico department of health;
- (g) any legal action against a program or staff members related to the care and custody of children;
- (h) the use of physical or mechanical restraints, unless due to documented emergencies or medically documented necessity; or
- (i) any known change in an educator's health condition or use of medication that impairs his or her ability to provide for the health, safety or welfare of children in care.

(2) A program will notify parents and guardians in writing of any incident, including notifiable illnesses, that has threatened the health or safety of children in the program. Incidents include, but are not limited to, those listed in Paragraph (1) of Subsection C of 8.16.2.40 NMAC.

(3) Incident reports involving suspected child abuse and neglect must be reported immediately to children's protective services and local law enforcement. The licensing authority follows written protocols/procedures for the prioritization, tracking, investigation and reporting of incidents, as outlined in the complaint investigation protocol and procedures.

[8.16.2.40 NMAC - Rp, 8.16.2.41 NMAC, 11/30/12]

**8.16.2.41 ADMINISTRATIVE REQUIREMENTS FOR OUT OF SCHOOL TIME CARE:**

A. ADMINISTRATION RECORDS: A licensee shall display in a prominent place that is readily visible to parents, staff and visitors:

- (1) all licenses, certificates, and most recent inspection reports of all state and local government agencies with jurisdiction over the program;
- (2) the current child care regulations;
- (3) dated weekly menus for meals and snacks;
- (4) the guidance policy; and
- (5) the current list of notifiable diseases and communicable diseases published by the office of epidemiology of the New Mexico department of health.

B. MISSION, PHILOSOPHY AND CURRICULUM STATEMENT: All licensed facilities must have a:

- (1) mission statement;
- (2) philosophy statement; and
- (3) curriculum statement.

C. PARENT HANDBOOK: All facilities using these regulations must have a parent handbook which includes the following.

(1) GENERAL INFORMATION:

- (a) mission statement;
- (b) philosophy statement;
- (c) program information (location, license information, days and hours of operation, services offered);
- (d) name of director and how he/she may be reached;
- (e) meals, snacks and types of food served (or alternatively, guidelines for children bringing their own food);
- (f) daily schedule;
- (g) a statement supportive of family involvement that includes an open door policy to the classroom;
- (h) appropriate dress for children, including request for extra change of clothes;
- (i) celebrating holidays, birthdays and parties;
- (j) disclosure to parents that the licensee does not have liability or accident insurance coverage.

(2) POLICIES AND PROCEDURES:

- (a) enrollment procedures;
- (b) disenrollment procedures;
- (c) fee payment procedures, including penalties for tardiness;
- (d) notification of absence;
- (e) fee credits, if any (e.g. for vacations, absences, etc.);
- (f) field trip policies;
- (g) health policies (program's policies on admitting sick children, when children can return after an illness, administering medication, and information on common illnesses);
- (h) emergency procedures and safety policies;
- (i) snow days and school closure;
- (j) confidentiality policy;

- (k) child abuse/neglect reporting procedure;
  - (l) guidance policy; and
  - (m) an up to date emergency evacuation and disaster preparedness plan, which shall include steps for evacuation, relocation and reunification with parents, and individual plans for children with special needs; the plan shall be approved annually by the licensing authority and the department shall provide guidance on developing these plans.
- D. CHILDREN'S RECORDS: A program will maintain a complete record for each child, including drop-ins, to be completed before the child is admitted. Records will be kept at the program, unless otherwise indicated in the list below, for 12 months after the child's last day of attendance. Records will contain at least:
- (1) PERSONAL INFORMATION:
- (a) name of the child; date of birth, gender, home address, mailing address and telephone number;
  - (b) names of the parents or guardians, the parents or guardian's current places of employment, addresses, and pager, cellular and work telephone numbers;
  - (c) a list of people authorized to pick up the child and an authorized form signed by parent or guardian; identification of person authorized by the parent or guardian to pick up the child shall be verified at pick up;
  - (d) date the child first attended the program and the date of the child's last day at the program;
  - (e) a record of any accidents, injuries or illnesses that require first aid or medical attention and any observations of recent bruises, bites or signs of abuse or neglect, both of which must be reported to a parent or guardian; these records may be kept at a central location;
  - (f) written authorization from the child's parent or guardian to remove a child from the premises to participate in off-site activities; authorization must contain fieldtrip destination, date and time of fieldtrip and expected return time from fieldtrip;
  - (g) a record of the time the child arrived and left the program and dates of attendance initialed by a parent, guardian, or person authorized to pick up the child; and
  - (h) an enrollment agreement; this form will be signed by a parent or guardian with an outline of the services and the costs; these forms may be kept at a central location.
- (2) EMERGENCY INFORMATION:
- (a) information on any allergies or medical conditions suffered by the child; the name and telephone number of two people in the local area to contact in an emergency when a parent or guardian cannot be reached; emergency contact numbers must be kept up to date at all times;
  - (b) the name and telephone number of a physician or emergency medical facility authorized by a parent or guardian to contact in case of illness or emergency;
  - (c) a document giving a program permission to transport the child in a medical emergency and an authorization for medical treatment signed by a parent or guardian;
  - (d) if applicable, legal documentation regarding the child, including but not limited to: restraining orders, guardianship, powers of attorney, court orders, and custody by children's protective services.
- E. PERSONNEL RECORDS:
- (1) A licensee will keep a complete file for each staff member, including substitutes and volunteers having direct contact with the children. A program will keep the file for one year after the educator's last day of employment. Unless otherwise indicated, a licensee may keep the items listed below in a central location. Records will contain at least the following:
- (a) name, address and telephone number;
  - (b) position;
  - (c) current and past duties and responsibilities;
  - (d) dates of hire and termination;
  - (e) documentation of a background check and employment history verification;
  - (f) an annual signed statement that the staff member would or would not be disqualified as a direct provider of care under the most current version of the Background Checks and Employment History Verification provisions pursuant to 8.8.3 NMAC;
  - (g) documentation of first-aid and cardiopulmonary resuscitation training;
  - (h) documentation of all appropriate training by date, time, hours and area of competency;
  - (i) emergency contact number;
  - (j) universal precaution acknowledgement; and
  - (k) a written plan for ongoing professional development for each staff member, including the director, that is based on the seven areas of competency, consistent with the career lattice, and based on the individual's goals.

(2) A program will maintain current work schedules and daily sign in sheets for the director, all staff, all educators, and volunteers and keep the records on file for at least 12 months. The record will include the time the employee arrived at and left work and include breaks and lunch.

F. PERSONNEL HANDBOOK: The educator will give each employee a personnel handbook that covers all matters relating to employment and includes the following critical contents:

- (1) organizational chart;
- (2) job descriptions of all employees by title;
- (3) benefits, including vacation days, sick leave, professional development days, health insurance, break times, etc.;
- (4) code of conduct;
- (5) training requirements
- (6) procedures and criteria for performance evaluations;
- (7) policies on absence from work;
- (8) grievance procedures;
- (9) procedures for resignation or termination;
- (10) copy of licensing regulations;
- (11) policy on parent involvement;
- (12) health policies related to both children and staff;
- (13) policy on sexual harassment; and
- (14) plan for retention of qualified staff.

[8.16.2.41 NMAC - Rp, 8.16.2.42 NMAC, 11/30/12]

**8.16.2.42 PERSONNEL AND STAFFING REQUIREMENTS FOR OUT OF SCHOOL TIME CARE:**

A. PERSONNEL AND STAFFING REQUIREMENTS: 8.16.2 NMAC 45

- (1) An employer will not allow any employee involved in an incident which would disqualify that employee under the department's most current version of the Background Check and Employment History Verification provisions pursuant to 8.8.3 NMAC to continue to work directly or unsupervised with children;
- (2) All educators will demonstrate the ability to perform essential job functions that reasonably ensure the health, safety and welfare of children in care.
- (3) Educators (staff members) who work directly with children and who are counted in the staff/child ratios must be 18 years of age or older.
- (4) Clerical, cooking and maintenance personnel included in the staff/child ratio will have a designated schedule showing their normal hours in each role. Educators counted in the staff/child ratios will not be responsible for cooking, clerical or cleaning duties while caring for children.
- (5) Substitutes, volunteers and part-time educators counted in the staff/child ratios will meet the same requirement as regular staff members except for training requirements. Substitutes and educators routinely employed in a facility but working 20 hours or fewer a week will complete half the required training hours. Such employees working more than 20 hours a week will meet full training requirements. See Paragraph (3) of Subsection C of 8.16.2.42 NMAC for additional training requirements.
- (6) Each site will have a site director. The site director or a designated co-director who meets the same qualifications as the site director will be on site 50 percent of the program's core hours of operation.
- (7) A program will maintain staff/child ratios at all times. Children must never be left unattended whether inside or outside the facility.
- (8) A program will have a minimum of two staff members present at all times. If the program has less than seven children, the second educator may be engaged in other duties.
- (9) Each site will have one adult for every 15 children age five or older.

B. STAFF QUALIFICATIONS:

- (1) Unless exempted under Paragraph (3) below, an out of school time program will have an administrator/director who is at least 21 years old and has proof of a current copy of:
  - (a) a child development associate (CDA) certificate, a certified child care professional credential (CCP), a Montessori teacher, a national administrator credential (NAC), or an associate of arts or applied science degree in child development or early childhood education and at least two years of experience in an early childhood growth and development setting; a school-age child care growth and development setting; or
  - (b) a bachelor's degree or higher in early childhood education or a related field with at least one year of experience in an early childhood growth and development setting or a school-age child care growth and development setting; early childhood growth and development settings include, but are not limited to, licensed or registered family child

care programs, licensed center-based early childhood education and development programs, and family support programs.

(2) Every site of an out of school time program will have a site director who has at least a high school diploma or GED and proof of at least three years of experience working with children.

(3) Program administrators and site directors employed in a licensed program on the date these regulations become effective but who are not qualified will continue to qualify in their positions as long as they continuously work as program administrators or site directors. Current program administrators and site directors having a break in employment of more than one year must meet the requirements.

#### C. TRAINING:

(1) The program administrator will develop and document an orientation and training plan for new staff members and will provide information on training opportunities. New staff members will participate in an orientation before working with children. Initial orientation will include training on the following areas: a) scope of services and activities offered by the program; b) emergency first aid procedures; c) indicators of child abuse and neglect; d) fire prevention measures, emergency evacuation plan and disaster preparedness plan; e) review of licensing regulations; f) review of policies regarding guidance; g) child abuse and neglect reporting; h) handling of incidents and complaints; i) health and safety, including infection and injury prevention and control.

(2) A program will keep a training log on file with the employee's name, date of hire and position. The log must also include the date, hours of training, subject, training source and training certificate.

(3) All educators are required to obtain at least 24 hours of training each year. For this purpose, a year begins and ends at the anniversary date of employment. Training must address all seven competency areas within two years. Training shall be relevant to school age children. Identical trainings shall not be repeated for the purpose of obtaining credit. The competency areas are:

- (a) child growth, development, and learning;
- (b) health, safety, nutrition, and infection control;
- (c) family and community collaboration;
- (d) developmentally appropriate content;
- (e) learning environment and curriculum implementation;
- (f) assessment of children and programs; and
- (g) professionalism.

(4) Training must be provided by individuals who have education or experience in the competency area (or areas) in which they train. Employees or relatives of employees who provide training must have prior approval by the department.

(5) Program administrators may count hours in personnel and business training toward the training requirement.

[8.16.2.42 NMAC - Rp, 8.16.2.43 NMAC, 11/30/12]

### **8.16.2.43 SERVICES AND CARE OF CHILDREN IN OUT OF SCHOOL TIME CARE:**

#### A. GUIDANCE:

(1) A program will have written policies and procedures clearly outlining guidance practices. Facilities will give this information to all parents and staff who will sign a form to acknowledge that they have read and understand these policies and procedures.

(2) Guidance will be consistent and age appropriate.

(3) Guidance shall be positive and include redirection and clear limits that encourage the child's ability to become self-disciplined. The use of physical or mechanical restraints is prohibited unless due to documented emergencies or medically documented necessity.

(4) A program will not use the following disciplinary practices:

- (a) physical punishment of any type, including shaking, biting, hitting or putting anything on or over a child's mouth;
- (b) withdrawal of food, rest, bathroom access, or outdoor activities;
- (c) abusive or profane language, including yelling;
- (d) any form of public or private humiliation, including threats of physical punishment; or
- (e) unsupervised separation.

#### B. PHYSICAL ENVIRONMENT:

(1) Environment shall be organized into age appropriate functional identifiable learning areas. If any of the selected learning areas are not represented at a given time, the areas shall be rotated to provide children with the opportunity to gain skills supported by a variety of learning experiences. The areas may include:

- (a) dramatic play;
  - (b) creative art;
  - (c) books;
  - (d) blocks and accessories;
  - (e) manipulatives;
  - (f) music;
  - (g) science;
  - (h) math/number; and
  - (i) sensory.
- (2) Each center is clearly defined, using shelves and furniture.
  - (3) Adults can visually supervise all centers at all times.
  - (4) The capacity of each room will be posted in an area of the room that is readily visible to parents, staff members, and visitors.
  - (5) Learning areas have adequate space and quiet areas are arranged so that children's activities can be sustained without interruption.
  - (6) Materials are well cared for and organized by type. Where appropriate, materials are labeled with words or pictures. Adaptations to materials are made when needed to accommodate various abilities of all children. Unused materials are stored in inaccessible storage.
  - (7) Examples of children's individually expressed artwork are displayed in the environment at the children's eye level.
  - (8) Floor surface is suitable for activities that will occur in each learning area.
  - (9) File and storage space is available for educators' materials.

**C. SOCIAL-EMOTIONAL RESPONSIVE ENVIRONMENT:**

- (1) Educators remain calm in stressful situations.
- (2) Educators are actively engaged with children. Educators talk, actively listen and respond to children appropriately by responding to children's questions and acknowledging their comments, concerns, emotions and feelings.
- (3) Educators help children communicate their feelings by providing them with language to express themselves.
- (4) Educators model appropriate social behaviors, interactions and empathy. Educators respond to children that are angry, hurt, or sad in a caring and sensitive manner. Educators make appropriate physical contact to comfort children who are distressed.

**D. EQUIPMENT AND PROGRAM:**

- (1) A program will provide sufficient equipment, materials, and furnishings for both indoor and outdoor activities so that at any one time each child can be individually involved.
- (2) Each child at a program will have a designated space for storage of clothing and personal belongings.
- (3) A program will store equipment and materials for children's use within easy reach of the children, including those with disabilities. A program will store the equipment and materials in an orderly manner so children can select and replace the materials by themselves or with minimal assistance.
- (4) A program will provide children with toys, educational materials, equipment and other materials and activities that are safe, developmentally appropriate, and encourage the child's educational progress, creativity, social interaction, and a balance of individual and group activity. Program staff must be onsite, available and responsive to children during all hours of operation.
- (5) A program will post a daily activity schedule. A program will follow a consistent pattern for routine activities such as meals, snacks and rest.
- (6) Media viewing will be limited to six hours per month, but not to exceed one full length film in one day. Programs, movies, music and music programs shall be age appropriate and shall not contain adult content.
- (7) Children and family members shall be acknowledged upon arrival and departure.
- (8) Equipment and program requirements apply during all hours of program operation.

**E. ADDITIONAL REQUIREMENTS FOR CHILDREN WITH SPECIAL NEEDS:**

- (1) Child care facilities are responsible for staff awareness of community resources for families of children with disabilities, including children under the age of five years as well as those of school age. If staff believe that a child may have a delay or disability, possible resources for referral and assistance are provided to parents when appropriate. No referral for special needs services to an outside agency will be made without a parent's consent. Family Education Right and Privacy Act (FERPA) will be respected at all times.

(2) Child care facilities are responsible for staff awareness of the Americans with Disabilities Act (ADA) as it relates to enrolling and caring for children with disabilities.

**F. OUTDOOR PLAY AREAS:**

- (1) Outdoor play equipment used in out of school time programs shall be:
  - (a) intended for public (non-residential) use and installed and maintained according to the manufacturer’s instructions; or
  - (b) if intended for residential use, shall be safe and securely anchored.
- (2) A program will place sufficient energy absorbing surfaces beneath climbing structures, swings and slides (as determined by Subsection P of 8.16.2.8 NMAC).

Critical Heights of Playground Equipment for Various Types and Depths of Resilient Surfaces Based on Information from the U.S. CONSUMER PRODUCT SAFETY COMMISSION (CPSC Publication No. 325), Handbook for Public Playground Safety.

When no requirement is provided for a specific height of equipment, we have used the requirement for the next higher height, so requirements are conservative, erring on the side of safety.

| Equipment Height                              | Wood Chips | Double Shredded Bark | Uniform Wood Chips | Fine Sand | Coarse Sand | Fine Gravel |
|---|------------|----------------------|--------------------|-----------|-------------|-------------|
| Uncompressed Depths of Materials In Fall Zone |            |                      |                    |           |             |             |
| Five feet or less                             | 6 inches   | 6 inches             | 6 inches           | 6 inches  | 6 inches    | 6 inches    |
| Six feet                                      | 6 inches   | 6 inches             | 6 inches           | 12 inches | 12 inches   | 6 inches    |
| Seven feet                                    | 6 inches   | 9 inches             | 9 inches           | 12 inches | 12 inches   | 9 inches    |
| Eight feet                                    | 9 inches   | 9 inches             | 12 inches          | 12 inches | 12 inches   | 12 inches   |
| Nine Feet                                     | 9 inches   | 9 inches             | 12 inches          | 12 inches | N/A         | 12 inches   |
| Ten Feet                                      | 9 inches   | 9 inches             | 12 inches          | N/A       | N/A         | 12 inches   |

For poured or installed foam or rubber surfaces, the materials must meet the ASTM F1292 requirements with written verification from the manufacturer.

(3) The use of a trampoline is prohibited at any time during the hours of operation or by any children receiving care at the facility.

**G. SWIMMING, WADING AND WATER:**

- (1) Each child will have written permission from a parent or guardian before the child enters the pool.
- (2) If a program has a portable wading pool:
  - (a) a program will drain and fill the wading pool with fresh water daily and disinfect the pool regularly;
  - (b) a program will empty a wading pool when it is not in use and remove it from areas accessible to children;
  - (c) a program will not use a portable wading pool placed on concrete or asphalt.
- (3) If a program has a built in or above ground swimming pool, ditch, fishpond or other water hazard:
  - (a) the fixture will be constructed, maintained and used in accordance with applicable state and local regulations;
  - (b) the fixture will be constructed and protected so that, when not in use, it is inaccessible to children; and
  - (c) when in use, children will be constantly supervised and the number of adults present will be proportional to the ages and abilities of the children and type of water hazard in use.
- (4) The following ratios shall be observed for swimming pools more than two feet deep:

| Age of the youngest child | Ratio of swimming pools more than two feet deep | Number of educators, lifeguards or volunteers | Number of children |
|---------------------------|---|---|--------------------|
| 5 years                   |   | 1   | 10                 |
| 6 years and older         |   | 1   | 12                 |

**H. FIELD TRIPS:**

- (1) A program will ensure the children’s safety on field trips and excursions. See Subparagraph (f) of Paragraph (1) of Subsection D of 8.16.2.41 NMAC for requirements concerning field trip permission slips.
- (2) Children will not go to a private residence unless accompanied by two adults.

[8.16.2.43 NMAC - Rp, 8.16.2.44 NMAC, 11/30/12]

**8.16.2.44 FOOD SERVICE REQUIREMENTS FOR OUT OF SCHOOL TIME CARE:**

A. MEAL PATTERN REQUIREMENTS: All foods prepared by the program will conform to the guidelines from United States department of agriculture's (USDA's) child and adult care food program (CACFP) for foods, meal patterns and serving sizes.

B. MEALS AND SNACKS:

- (1) A program will provide a child a meal or snack at least every three hours.
- (2) A program will serve a child a therapeutic or special diet with a written prescription/diet order from a physician or a recognized medical authority. Diet orders must be complete and descriptive, and not subject to interpretation by the program staff.
- (3) A program will serve snacks each day and will include a selection of two different food group components from the four food group components.
- (4) A program shall serve only 100-percent fruit or vegetable juice. The use of fruit drinks that contain less than 100% juice or artificially flavored drinks for meals or snacks is prohibited. 100-percent fruit or vegetable juice may be diluted with water.
- (5) A program shall serve a wide variety of fruits and vegetables, with a preference for fresh or frozen fruits and vegetables over canned.
- (6) A program shall make water freely available to children.
- (7) Menus shall contain a variety of foods. The same menu must not be served twice in one week.

C. KITCHENS:

- (1) A program will not allow children in the kitchen except under careful supervision.
- (2) A food preparer will thoroughly wash all raw fruits and vegetables before cooking or serving.
- (3) A program will serve food promptly and refrigerate immediately after use.
- (4) A program will discard any leftover milk.
- (5) A program will keep food requiring refrigeration, at 41 degrees (fahrenheit) or below and frozen food at 0 degrees (fahrenheit) or below.
- (6) Refrigerators and separate freezers will have working internal thermometers.
- (7) A program will protect food and drink by properly storing items in an airtight container or by tightly wrapping them. A program will label and date all leftover food.
- (8) A program will protect all food from insects, rodents and other vermin.
- (9) A program will sanitize eating utensils, dishes and cups before re-use by washing them in a dishwasher or by completing the following steps: a) wash with soapy water; b) rinse with clean warm water; and c) sanitize using
- (10) A program will use cleaning materials for the kitchen and food preparation areas only in the kitchen and will store the materials separately from food.
- (11) A program will equip dining areas with tables, chairs, eating utensils and dishes appropriate to the age of the children served and sanitize the areas before and after use.
- (12) A program will provide sanitary cups or glasses or a drinking fountain for drinking water. A program will not allow children to share drinking or eating utensils.
- (13) A program shall thoroughly sanitize food preparation surfaces before and after each use.

[8.16.2.44 NMAC - Rp, 8.16.2.45 NMAC, 11/30/12]

**8.16.2.45 HEALTH AND SAFETY REQUIREMENTS FOR OUT OF SCHOOL TIME CARE:**

A. HYGIENE: Children and staff members will wash their hands with soap and warm running water as needed. Water basins shall not be used as an alternative to running water. Staff and children will wash their hands whenever hands are contaminated with body fluids and always:

- (1) after using a toilet;
- (2) before and after caring for a sick child;
- (3) before any food service activity, including setting the table;
- (4) before and after eating; and
- (5) after handling pets or animals or items used by animals such as water and food bowls.

B. FIRST AID REQUIREMENTS:

- (1) A program will have on duty at all times one staff member or educator currently certified in first aid and cardiopulmonary resuscitation (CPR).
- (2) A program will keep a first-aid kit and a first-aid manual together in the program in a location inaccessible to children and easily accessible to adults. The first aid kit will contain, as a minimum, band aids, gauze pads, adhesive tape, scissors, soap, non-porous gloves, and a thermometer.

(3) A program will treat blood spills cautiously and promptly decontaminate the area. Staff members will wear non-porous, single-use gloves when handling a blood spill, bloody diarrhea, bloody nose, or any other blood. A program will clean contaminated surfaces first with hot soapy water then with a disinfecting solution which is effective against HIV and hepatitis B.

#### C. MEDICATION:

(1) A program will keep all medications in a locked and identified container inaccessible to children and will refrigerate medications when necessary. If the refrigerator is inaccessible to children, medications do not need to be in a locked container in the refrigerator.

(2) Programs will give medication only with written permission from parents or guardian, to be administered according to written directions from the prescribing physician. In the case of non-prescription medication, written instructions must be provided by the parent or guardian.

(3) A designated staff member will be responsible for giving medication to children. The designated staff member will ensure non-prescription and prescription medications have a label with the child's name and the date the medication was brought to the program. A program will keep non-prescription and prescription medication in the original container with written instructions, including the name of medication, the dosage, and the hours and dates the child should receive the medicine.

(4) The designated staff member will keep a written record of the dosage, date, and time a child is given medication with the signature of the staff who administered the medication. This information will be provided to the parent or guardian who will initial/date acknowledgment of the information received on the day the medication is given.

(5) When the medication is no longer needed, it shall be returned to the parents or guardians or destroyed. The program shall not administer expired medication.

#### D. ILLNESSES:

(1) Children or staff members absent due to any notifiable disease will not return to the program without a signed statement from a physician.

(2) A program will separate and constantly observe a child who becomes sick at the program and promptly notify a parent or guardian of the child's illness.

(3) A program will send a child home when:

(a) the child's oral temperature is 101 degrees (fahrenheit) or greater or armpit temperature is 100.4 degrees (fahrenheit) or greater and the child shows signs of illness or behavior changes; or

(b) an educator observes signs of contagious disease or severe illness.

(4) The program will have a cot or mat available for sick children and it will be cleaned and disinfected thoroughly after use.

[8.16.2.45 NMAC - Rp, 8.16.2.46 NMAC, 11/30/12]

#### **8.16.2.46 TRANSPORTATION REQUIREMENTS FOR OUT OF SCHOOL TIME CARE:**

A. All vehicles used for transportation of children will have an operable fire extinguisher, first-aid kit, first-aid manual, water and blanket.

B. A program will load and unload children at the curbside of the vehicle or in a protected parking area or driveway. The program will ensure children do not cross a street unsupervised after leaving the vehicle.

C. No one will smoke in a vehicle used for transporting children.

D. A program will license all vehicles used for transporting children and will meet all applicable state vehicle laws. A child shall be transported only if the child is properly secured in a child passenger restraint device or by a safety belt as follows. School buses that are not equipped with passenger restraint devices are exempt from this requirement.

(1) Children five years of age through six years of age, regardless of weight, or children who weigh less than 60 pounds, regardless of age, shall be properly secured in either a child booster seat or an appropriate child passenger restraint device that meets federal standards.

(2) Children seven years of age through 12 years of age shall be secured in a child passenger restraint device or by a seat belt.

E. Vehicles used for transporting children will be enclosed and properly maintained. Vehicles shall be cleaned and inspected inside and out at least weekly.

F. Vehicles operated by the program to transport children shall be air-conditioned whenever the outside air temperature exceeds 82 degrees fahrenheit. If the outside air temperature falls below 50 degrees fahrenheit the program will ensure the vehicle is heated.

G. Children may be transported only in vehicles that have current registration and insurance coverage. All drivers must have current driver's license and comply with motor vehicle and traffic laws. Persons who have been convicted in the last seven years of a misdemeanor or felony DWI/DUI cannot transport children under the auspices of a licensed facility/program.

H. At least one adult transporting children shall be currently certified in cardiopulmonary resuscitation (CPR).  
[8.16.2.46 NMAC - Rp, 8.16.2.47 NMAC, 11/30/12]

#### **8.16.2.47 BUILDING, GROUND AND SAFETY REQUIREMENTS FOR OUT OF SCHOOL TIME CARE**

##### **A. HOUSEKEEPING:**

- (1) A program will keep the premises, including furniture, fixtures, toys and equipment clean, safe, and free of debris and potential hazards.
- (2) Materials dangerous to children must be secured in a manner making them inaccessible to children and away from food storage or preparation areas.
- (3) All garbage and refuse receptacles in kitchens and in outdoor areas will be durable, and constructed of materials that will not absorb liquids.

##### **B. PEST CONTROL: 8.16.2 NMAC 51**

- (1) All licensed programs must use a New Mexico licensed applicator whenever applying pesticides in or on the program's buildings and grounds.
- (2) The applicator may not apply pesticides when children are on the premises.
- (3) Parents, guardians, and staff must be notified at least two days prior to spraying or applying pesticides.
- (4) All food storage, preparation, and serving areas must be covered and protected from spraying or application of pesticides.

##### **C. MECHANICAL SYSTEMS:**

- (1) A program will maintain comfortable temperatures (68 degrees fahrenheit through 82 degrees fahrenheit) in all rooms used by children. A program may use portable fans if the fans are secured and inaccessible to children and do not present any tripping, safety or fire hazards. In the event air temperature in a program exceeds the 82 degrees fahrenheit in the summer months because of evaporative cooler temperature limitations, it will be verified that cooling equipment is functioning, is being maintained, and that supplemental aides have been employed, such as, but not limited to: ceiling fans, portable fans, or portable evaporative coolers.
- (2) A program must maintain all heating and cooling equipment so that it is in good working order.
- (3) A program will not use unvented heaters, open flame heaters or portable heaters. A program will install barriers or take other steps to ensure heating units, are inaccessible to children. Heating units include hot water pipes, hot water baseboard heaters hotter than 110 degrees (fahrenheit), fireplaces, fireplace inserts and wood stoves.
- (4) A program will provide fresh air and control odors by either mechanical or natural ventilation. If a program uses a window for ventilation, it will have a screen. If a door is used for ventilation, it must have a screen door.
- (5) Water coming from a faucet will be below 110 degrees (fahrenheit). A program will install a tempering valve ahead of all domestic water-heater piping.
- (6) All food preparation areas, sinks, washrooms, laundries and bathrooms will have hot and cold running water under pressure.

##### **D. LIGHTING, LIGHTING FIXTURES AND ELECTRICAL:**

- (1) All areas will have sufficient glare-free lighting with shatterproof or shielded bulbs.
- (2) A program will have emergency lighting that turns on automatically when electrical service is disrupted.
- (3) Use of electrical cords and outlets:
  - (a) A program will use U/L approved equipment only and will properly maintain this equipment.
  - (b) The use of multi-prong or gang plugs is prohibited. Surge protectors are not gang plugs under these regulations.

**E. EXITS AND WINDOWS:** When an activity area does not have a door directly to the outside, at least one window in each activity area must be able to be opened for emergency egress with a minimum net clear opening of 5.7 square feet. The minimum net clear opening for height dimension must be 24 inches. The minimum net clear opening width dimension must be 20 inches, and the finished sill height must not be more than 44 inches above the floor.

- (1) There must be at least two exits remote from each other in each activity area of the program.
- (2) Exit ways must be kept free from obstructions at all times.

##### **F. TOILET AND BATHING FACILITIES:**

- (1) All toilet rooms will have toilet paper, soap and disposable towels at a height accessible to children. A program will not use a common towel or wash cloth.

(2) All toilets and sinks must be located within 100 feet of the licensed area. The staff member shall maintain a direct line of sight of the child until the child enters the bathroom and from the time the child leaves the bathroom until the child returns.

**G. SAFETY COMPLIANCE:**

(1) A program will conduct at least one fire drill each month. A program will:

- (a) hold the drills at different times of the day;
  - (b) use the fire alarm, detector system or a simulated fire alarm;
  - (c) emphasize an orderly evacuation rather than speedy; and
  - (d) a program will keep on file a record of the fire drills with the date, time, number of adults and children participating, and any problems encountered during the fire drill; records will be kept for one year.
- (2) A program shall request an annual fire inspection from the fire authority having jurisdiction. If the policy of the fire authority having jurisdiction does not provide for an annual inspection of the program, the program must document the date the request was made and to whom. A copy of the latest inspection must be posted in the program.
- (3) A program will post evacuation plans for each room used by children in the appropriate room.
- (4) A program will keep a working telephone in an easily accessible place for calling for help in an emergency and will post emergency phone numbers for fire, police, ambulance and the poison control center next to the phone. A pay phone will not fulfill this requirement. If cordless phones are used, emergency numbers shall be posted on the phone itself. Facilities shall post the program's telephone number and address in a conspicuous location next to the emergency phone numbers.
- (5) A program must be equipped with smoke detectors approved in writing by the fire authority having jurisdiction as to number, type, and placement.
- (6) A program must have a minimum of two 210ABC fire extinguishers, one located in the kitchen or food preparation area, and one centrally located in the program.
- (7) Fire extinguishers, alarm systems, automatic detection equipment, and other fire fighting must be properly maintained and inspected on at least a yearly basis; fire extinguishers must be tagged noting the date of inspection; see Paragraph (2) of Subsection D of 8.16.2.47 NMAC for emergency lighting requirements.

**H. SMOKING, FIREARMS, ALCOHOLIC BEVERAGES, ILLEGAL DRUGS AND CONTROLLED**

**SUBSTANCES:** A program will prohibit smoking in all areas, including vehicles, and will not allow any alcoholic beverages, firearms or non-prescription controlled substances (drugs) on the premises or in vehicles. Possessing or knowingly permitting illegal drugs or non-prescription controlled substances to be possessed or sold on the premises at any time regardless of whether children are present is prohibited.

**I. PETS:**

- (1) A program will inform parents or guardians in writing before pets are at the program site.
- (2) A program will not allow pets in the kitchen, food serving, food storage areas, or bathrooms.
- (3) A program will inoculate any pets as prescribed by a veterinarian and keep a record of proof of inoculation prior to the pet's presence at the program.
- (4) A program will not allow on the premises pets or other animals that are undomesticated, dangerous, contagious or vicious in nature.
- (5) Areas of confinement, such as cages and pens, and outdoor areas are cleaned of excrement daily. Animals shall be properly housed, fed and maintained in a safe, clean sanitary and humane condition at all times.
- (6) A staff member must be physically present during the handling of all pets or other animals.

[8.16.2.47 NMAC - Rp, 8.16.2.47 NMAC, 11/30/12]

**HISTORY OF 8.16.2 NMAC:**

Pre-NMAC HISTORY: The material in this part was derived from that previously filed with the Commission of Public Records-State Records Center and Archives:

HSSD 76-8, Child Care Facilities, Licensing Rules, Regulations and Standards, 12-1-76

HED-82-4 (HSD), Regulations Governing Child Care Center Licensing, 8-11-82

HED-81-2 Regulations Governing Family Day Care Licensing, 6-16-81

HED 82-6 (HSD), Regulations Governing Family Day Care Licensing, 8-11-82

HED 87-1 (HSD), New Mexico Regulations Governing Facilities Providing Day/Night Care to Children, 6-16-87

HED 87-3 (HSD), New Mexico Regulations Governing Facilities Providing Day/Night Care to Children, 6-19-87.

**HISTORY OF REPEALED MATERIAL:**

8 NMAC 16.2, Child Care Centers, Before/After School Programs, Family Child Care Homes, and other Early Care and Education Programs - repealed 8-1-99.

8 NMAC 16.2, Child Care Centers, Before/After School Programs, Family Child Care Homes, and other Early Care and Education Programs - repealed 8-1-00.

8 NMAC 16.2, Child Care Centers, Before/After School Programs, Family Child Care Homes, and other Early Care and Education Programs - repealed 8-1-01.

8.16.2 NMAC, Child Care Centers, Before/After School Programs, Family Child Care Homes, and other Early Care and Education Programs - repealed 11-01-02.

8.16.2 NMAC, Child Care Centers, Out of School Time Programs, Family Child Care Homes, and other Early Care and Education Programs - repealed 2-14-05. 8.16.2 NMAC 53

8.16.2 NMAC, Child Care Centers, Out of School Time Programs, Family Child Care Homes, and other Early Care and Education Programs - repealed 6-30-10.

8.16.2 NMAC, Child Care Centers, Out of School Time Programs, Family Child Care Homes, and other Early Care and Education Programs - repealed 11-30-12.